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Form CHAR500	Annual Filing for Charitable Organizations New York State Department of Law (Office of the Attorney General) Charities Bureau - Registration Section 120 Broadway New York, NY 10271 http://www.charitiesnys.com	2012
This form used for Article 7-A, EPTL and dual filers (replaces forms CHAR 497, CHAR 010 and CHAR 006)		Open to Public Inspection

1. General Information

a. For the fiscal year beginning (mm/dd/yyyy) **10/01/2012** and ending (mm/dd/yyyy) **09/30/2013**

b. Check if applicable for NYS: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial filing <input type="checkbox"/> Final filing <input type="checkbox"/> Amended filing <input type="checkbox"/> NY registration pending	c. Name of organization FUND FOR THE CITY OF NEW YORK, INC. Number and street (or P.O. box if mail not delivered to street address) Room/suite 121 AVENUE OF THE AMERICAS, 6TH City or town, state or country and ZIP + 4 NEW YORK, NY 10013-1590	d. Fed. employer ID no. (EIN) 13-2612524 e. NY State registration no. 01-23-79 f. Telephone number 212 925-6675 g. Email WWW.FCNY.ORG
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2. Certification - Two Signatures Required

We certify under penalties of perjury that we reviewed this report, including all attachments, and to the best of our knowledge and belief, they are true, correct and complete in accordance with the laws of the State of New York applicable to this report.

a. President or Authorized Officer	 Signature MARY MCCORMICK Printed Name	PRESIDENT	5/27/14 Date
b. Chief Financial Officer or Treas.	 Signature WALID GABALLAH Printed Name	CFO	5/27/14 Date

3. Annual Report Exemption Information

a. **Article 7-A annual report exemption** (Article 7-A registrants and dual registrants)
 Check if total contributions from NY State (including residents, foundations, corporations, government agencies, etc.) did not exceed \$25,000 and the organization did not engage a professional fund raiser (PFR) or fund raising counsel (FRC) to solicit contributions during this fiscal year.
NOTE: An organization may claim this exemption if no PFR or FRC was used and either: 1) it received an allocation from a federated fund, United Way or incorporated community appeal and contributions from other sources did not exceed \$25,000 or 2) it received all or substantially all of its contributions from one government agency to which it submitted an annual report similar to that required by Article 7-A.

b. **EPTL annual report exemption** (EPTL registrants and dual registrants)
 Check if gross receipts did not exceed \$25,000 and assets (market value) did not exceed \$25,000 at any time during this fiscal year.

For EPTL or Article 7-A registrants claiming the annual report exemption under the one law under which they are registered and for dual registrants claiming the annual report exemptions under both laws, simply complete part 1 (General Information), part 2 (Certification) and part 3 (Annual Report Exemption Information) above.
Do not submit a fee, do not complete the following schedules and do not submit any attachments to this form.

4. Article 7-A Schedules

If you did **not** check the Article 7-A annual report exemption above, complete the following for this fiscal year:

a. Did the organization use a professional fund raiser, fund raising counsel or commercial co-venturer for fund raising activity in NY State? ... Yes* No
 * If "Yes", complete Schedule 4a.

b. Did the organization receive government contributions (grants)? Yes* No
 * If "Yes", complete Schedule 4b.

5. Fee Submitted: See last page for summary of fee requirements.

Indicate the filing fee(s) you are submitting along with this form:		Submit only one check or money order for the total fee, payable to "NYS Department of Law"
a. Article 7-A filing fee	\$ <u>25.</u>	
b. EPTL filing fee	\$ <u>750.</u>	
c. Total fee	\$ <u>775.</u>	

6. Attachments - For organizations that are not claiming annual report exemptions under both laws, see last page for required attachments ➡ ➡ ➡

**Fund for the City of New York, Inc.
and Affiliates**

**Consolidated Financial Statements
Schedule of Expenditures of Federal Awards,
Internal Control and Compliance
(With Supplementary Information) and
Independent Auditor's Reports**

**September 30, 2013
(With Comparative Totals for 2012)**

Fund for the City of New York, Inc. and Affiliates

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Independent Auditor's Report

To the Board of Directors
Fund for the City of New York, Inc.

Report on the Financial Statements

We have audited the accompanying consolidated financial statements of the Fund for the City of New York, Inc. and Affiliates (the "Fund"), which comprise the consolidated statement of financial position as of September 30, 2013, and the related consolidated statements of activities and cash flows for the year then ended, and the related consolidated notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit includes performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of the Fund for the City of New York, Inc. and Affiliates as of September 30, 2013, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Report on Summarized Comparative Information

We have previously audited the Fund for the City of New York, Inc. and Affiliates 2012 consolidated financial statements and our report dated March 29, 2013 expressed an unmodified opinion on those audited consolidated financial statements. In our opinion, the summarized comparative information presented herein as of and for the year ended September 30, 2012 is consistent, in all material respects, with the audited consolidated financial statements from which it has been derived.

Other Matters

Our audit was conducted for the purpose of forming an opinion on the consolidated financial statements as a whole. The consolidating information included on pages 17 and 18 is presented for purposes of additional analysis rather than to present financial position and changes in net assets of the individual organizations and the supplementary information included on pages 19-25 is also presented for purposes of additional analysis and is not a required part of the consolidated financial statements. The accompanying schedule of expenditures of federal awards, as required by Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, is presented for purposes of additional analysis and is not a required part of the consolidated financial statements. The supplementary information and the schedule of expenditures of Federal awards are the responsibility of management and were derived from and relates directly to the underlying accounting and other records used to prepare the consolidated financial statements. The information has been subjected to the auditing procedures applied in the audit of the consolidated financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the consolidated financial statements or to the consolidated financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects in relation to the consolidated financial statements as a whole.

The 2012 supplementary information included on pages 19 to 25 is presented for purposes of additional analysis and was derived from the Fund's 2012 consolidated financial statements in which our report dated March 29, 2013 we stated that, in our opinion, such information was fairly stated, in all material respects, in relation to the 2012 consolidated financial statements as a whole.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated March 26, 2014, on our consideration of the Fund for the City of New York, Inc. and Affiliates' internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Fund for the City of New York, Inc. and Affiliates' internal control over financial reporting and compliance.



New York, New York
March 26, 2014

Fund for the City of New York, Inc. and Affiliates

**Consolidated Statement of Financial Position
September 30, 2013
(With Comparative Totals for September 30, 2012)**

<u>Assets</u>	<u>2013</u>	<u>2012</u>
Cash and cash equivalents	\$ 19,903,172	\$ 17,345,010
Investments (Note E)	3,143,532	3,115,384
Grants and contracts receivable, net (Note G)	17,395,332	13,946,281
Restricted cash - cash flow loan program	23,596,468	17,363,743
Loans receivable from civic and community organizations, less allowance for uncollectible loans of \$1,000,000 (Note F)	25,542,422	18,747,038
Prepaid expenses and other receivables	136,789	163,924
Security deposits	234,280	214,323
Property and equipment, net (Note C)	<u>1,502,655</u>	<u>1,602,390</u>
Total assets	<u>\$ 91,454,650</u>	<u>\$ 72,498,093</u>
<u>Liabilities and Net Assets</u>		
Liabilities:		
Accounts payable and accrued expenses	\$ 3,013,232	\$ 3,048,319
Grants payable	265,000	149,700
City of New York advance payable (Note F)	19,544,826	19,697,892
Loans payable (Note F)	18,500,000	5,000,000
Other liabilities	<u>96,875</u>	<u>264,330</u>
Total liabilities	<u>41,419,933</u>	<u>28,160,241</u>
Commitments		
Net assets:		
Unrestricted:		
Investments in property and equipment	1,502,655	1,602,390
Residual unrestricted net assets available for operations	<u>12,083,780</u>	<u>10,383,683</u>
Total unrestricted net assets	13,586,435	11,986,073
Temporarily restricted	25,761,189	21,680,216
Permanently restricted	<u>10,687,093</u>	<u>10,671,563</u>
Total net assets	<u>50,034,717</u>	<u>44,337,852</u>
Total liabilities and net assets	<u>\$ 91,454,650</u>	<u>\$ 72,498,093</u>

See Notes to Consolidated Financial Statements.

Fund for the City of New York, Inc. and Affiliates
Consolidated Statement of Activities
Year Ended September 30, 2013
(With Comparative Totals for the Year Ended September 30, 2012)

	2013			2012	
	Unrestricted	Temporarily Restricted	Permanently Restricted	Total	Total
Revenue and support:					
Contract awards	\$ 28,797,500			\$ 28,797,500	\$ 28,104,991
Grants and contributions		\$ 34,093,343		34,093,343	18,723,107
Program income	6,284,399	149,099		6,433,498	6,817,826
Less partner program service fees	(3,575,592)			(3,575,592)	(3,015,709)
Investment income (Note E)	360,057		\$ 15,530	375,587	381,868
Total revenue and support	<u>31,866,364</u>	<u>34,242,442</u>	<u>15,530</u>	<u>66,124,336</u>	<u>51,012,083</u>
Net assets released from restrictions:					
Restrictions satisfied by performance	<u>30,161,469</u>	<u>(30,161,469)</u>			
Total revenue, support and net assets released from restrictions	<u>62,027,833</u>	<u>4,080,973</u>	<u>15,530</u>	<u>66,124,336</u>	<u>51,012,083</u>
Expenses (Note J):					
Projects costs	43,823,068			43,823,068	38,863,029
Program departure	5,474,201			5,474,201	766,682
Grants approved - core projects (Note H)	8,687,636			8,687,636	1,280,253
General and administrative	2,442,566			2,442,566	2,669,459
Total expenses	<u>60,427,471</u>			<u>60,427,471</u>	<u>43,579,423</u>
Changes in net assets	1,600,362	4,080,973	15,530	5,696,865	7,432,660
Net assets, beginning of year	<u>11,986,073</u>	<u>21,680,216</u>	<u>10,671,563</u>	<u>44,337,852</u>	<u>36,905,192</u>
Net assets, end of year	<u>\$ 13,586,435</u>	<u>\$ 25,761,189</u>	<u>\$ 10,687,093</u>	<u>\$ 50,034,717</u>	<u>\$ 44,337,852</u>

See Notes to Consolidated Financial Statements.

Fund for the City of New York, Inc. and Affiliates

Consolidated Statement of Cash Flows
Year Ended September 30, 2013
(With Comparative Totals for the Year Ended September 30, 2012)

	2013	2012
Cash flows from operating activities:		
Changes in net assets	\$ 5,696,865	\$ 7,432,660
Adjustments to reconcile changes in net assets to net cash provided by operating activities:		
Interest restricted for permanent reinvestment	(15,530)	(3,451)
Depreciation	117,147	119,449
Bad debt expense	731,880	1,152,365
Amortization of discount on grants receivable	(1,696)	(19,285)
Changes in operating assets and liabilities:		
Increase in grants and contracts receivable	(3,475,484)	(3,829,673)
Decrease in prepaid expenses and other receivables	19,411	208,367
Increase in security deposits	(42,257)	(40,730)
(Decrease) increase in accounts payable and accrued expenses	(35,087)	772,953
Increase in grants payable	115,300	49,700
Decrease in other liabilities	(167,455)	(111,974)
Net cash provided by operating activities	2,943,094	5,730,381
Cash flows from investing activities:		
Purchases of equipment	(17,412)	(6,861)
Change in restricted cash - cash flow loan program	(6,232,725)	(3,747,024)
Cash payments under loan programs	(93,159,618)	(42,243,848)
Cash collections under loan programs	85,690,507	42,307,273
Proceeds from sales of investments	3,115,384	3,079,362
Purchases of investments	(3,143,532)	(3,115,384)
Net cash used in investing activities	(13,747,396)	(3,726,482)
Cash flows from financing activities:		
Interest restricted for permanent reinvestment	15,530	3,451
Repayment of City of New York advance payable	(153,066)	(74,043)
Receipt of loans payable	34,000,000	3,500,000
Repayment of loans payable	(20,500,000)	
Net cash provided by financing activities	13,362,464	3,429,408
Net increase in cash and cash equivalents	2,558,162	5,433,307
Cash and cash equivalents, beginning of year	17,345,010	11,911,703
Cash and cash equivalents, end of year	\$ 19,903,172	\$ 17,345,010
Supplemental disclosure of cash flow data:		
Interest paid	\$ 83,486	\$ 104,590

See Notes to Consolidated Financial Statements.

Fund for the City of New York, Inc. and Affiliate

Notes to Consolidated Financial Statements

September 30, 2013

(With Comparative Totals for the Year Ended September 30, 2012)

Note A - Organization:

The Fund for the City of New York, Inc. ("FCNY") was created by the Ford Foundation with the mandate to improve the quality of life for all New Yorkers. It was incorporated as a nonprofit charitable organization in New York State in 1968.

Affiliated with FCNY are the National Center for Civic Innovation (the "National Center") and the International Institute for Community Solutions (the "International Institute") (collectively, the "Affiliates"). FCNY assumes both fiscal responsibility for the Affiliates and provides fiscal and administrative support. Both FCNY and the Affiliates were incorporated during fiscal 2003. FCNY, the National Center and the International Institute share a common board. As of and for the years ended September 30, 2013 and 2012, the International Institute had no operating activity and no assets, liabilities, or net assets to report. FCNY and the Affiliates are collectively referred to as the "Fund."

The Fund receives certain support which is restricted as to its use by the donor. These grants and contract awards include support for the Fund and for its partner projects. The Fund assumes fiscal responsibility for the partner projects and provides fiscal and administrative support.

The Fund is exempt from Federal income taxes under Section 501(c)(3) of the Internal Revenue Code ("IRC") and has been classified as a publicly supported organization as described in IRC Sections 509(a)(1) and 170(b)(A)(vi).

For over three decades, in partnership with government agencies, nonprofit institutions and foundations, the Fund has developed and helped to implement innovations in policy, programs, practices and technology in order to advance the functioning of government and nonprofit organizations in New York City and beyond. The Fund's programs are divided into: Core Programs, which were created to be responsive to important challenges and opportunities facing nonprofit and public agencies that do not have immediate solutions or for which there is a continuing need, and Strategic Initiatives, whereby the Fund plays a catalytic role in framing issues and creating and implementing solutions.

Fund for the City of New York, Inc. and Affiliate

Notes to Consolidated Financial Statements September 30, 2013

(With Comparative Totals for the Year Ended September 30, 2012)

Core Programs include the Cash Flow Loan Program, which provides loans to nonprofit organizations awaiting payments on city, state or Federal contracts or grants (In 2013, the program made loans to organizations of \$93 million); the Partner Program, which provides fiscal, personnel and administrative management support for over 80 nonprofit projects; the Sloan Public Service Awards Program, the Fund's oldest program, which annually honors six unsung heroes of New York City government; and the Sloan Awards Program for Excellence in Teaching Science and Mathematics, which honor seven teachers each year from New York City High Schools.

The Fund's Strategic Initiatives include the Center on Government Performance, the Center for Nonprofit Enterprise Solutions and Center on Internet Innovation. The Center on Government Performance works to improve trust, communication and accountability between government and the public by adapting the latest in market research and technology to create innovative ways to assess government performance that involve the public and reflect its perspective. The Fund's Center for Nonprofit Enterprise Solutions creates web-based applications to address critical administrative, management and technology support needs of nonprofit organizations. The Center on Internet Innovation helps to shape and use the potential of the Internet to improve the lives of New Yorkers, strengthen communities and address issues of the digital divide.

The accompanying consolidated financial statements include the accounts of the entities comprising the Fund. All significant transactions between the entities comprising the Fund have been eliminated in consolidation.

Note B - Summary of Significant Accounting Policies:

1. Basis of Presentation:

The accompanying consolidated financial statements have been prepared under the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America. Accordingly, the Fund's resources are classified and reported as separate classes of net assets based on the existence or absence of donor-imposed restrictions as follows:

Unrestricted net assets – Expendable resources that are used to carry out the Fund's operations and that are not subject to donor-imposed stipulations.

Fund for the City of New York, Inc. and Affiliate

Notes to Consolidated Financial Statements

September 30, 2013

(With Comparative Totals for the Year Ended September 30, 2012)

Temporarily restricted net assets – Net assets subject to donor-imposed stipulations that will be met either by actions of the Fund and/or the passage of time. Temporarily restricted net assets are restricted for fund-administered projects. At September 30, 2013, temporarily restricted net assets of \$25,761,189 were purpose restricted for Fund-administered projects and, at September 30, 2012, temporarily restricted net assets of \$21,680,216 were purpose restricted for Fund-administered projects

Permanently restricted net assets – Net assets subject to donor-imposed stipulations that require the corpus to be maintained in perpetuity by the Fund. Permanently restricted net assets include permanently restricted funds received by the Fund for its Cash Flow Loan Program, which totaled \$10,687,093 and \$10,671,563 at September 30, 2013 and 2012, respectively. Income earned from the loan pool assets is used to support the program.

2. Cash, Cash Equivalents and Restricted Cash:

The Fund considers all liquid financial instruments with original maturity dates of ninety days or less when acquired to be cash equivalents.

Restricted cash is for the purpose of the Cash Flow Loan Program and is held in separate bank accounts and is not available for operating purposes. This restricted cash is not considered cash for purposes of the consolidated statements of cash flows.

3. Investments:

Investments, which consist of short-term certificates of deposit ("CDs"), are stated at amortized cost which approximates for value. Interest income is accrued and is included in prepaid expense and other receivables. Interest income is recorded as unrestricted revenue unless specifically restricted by the donor.

4. Grants and Contracts Receivable:

Grants and contracts receivable that are expected to be collected within one year are recorded at their net realizable value. Grants and contracts receivable that are expected to be collected in future years are recorded at their net present value. The discounts on these amounts are computed using a risk-adjusted interest rate applicable to the year in which the receivable is generated. Amortization of the discount is included in grants and contracts revenue.

Fund for the City of New York, Inc. and Affiliate

Notes to Consolidated Financial Statements

September 30, 2013

(With Comparative Totals for the Year Ended September 30, 2012)

5. Property and Equipment:

Property and equipment are stated at cost less accumulated depreciation. Depreciation is calculated using the straight-line method over the estimated useful lives of the assets as follows:

Building and improvements	20 to 40 years
Furniture and equipment	3 to 10 years

6. Grants Payable:

Grants payable include unconditional grants. Unconditional grants are disbursed when approved by the Board of Directors.

7. Contract Awards:

Cost recovery contracts provide for reimbursement of budgeted expenditures within the contract period. The funds are received in either predetermined installments or in increments based on estimated expenditures for the period. Any excess of cash receipts over expenditures incurred is reported as "Deferred advances." Upon termination, unexpended cash funds received and revertible equipment purchased are returnable to the grantor. Accordingly, contract revenue under these awards is recognized in amounts equal to actual expenditures incurred.

Performance based grants provide for a payment based upon achieving a particular milestone specified by the grantor. Income is recognized to the maximum amount approved by the grantor when milestones are achieved.

Management continually evaluates outstanding contract award balances and establishes allowances when necessary, if balances are deemed uncollectible.

8. Grants and Contributions:

Grants and contributions are recognized as support in the period received and are recorded as unrestricted, temporarily restricted, or permanently restricted support depending on the existence and nature of any donor restrictions or law. All donor-restricted contributions are reported as increases in temporarily restricted or permanently restricted net assets. When a restriction expires, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the consolidated statement of activities as net assets released from restriction.

Fund for the City of New York, Inc. and Affiliate

Notes to Consolidated Financial Statements

September 30, 2013

(With Comparative Totals for the Year Ended September 30, 2012)

9. Program Income:

The Fund provides technical assistance and consulting services to various nonprofit organizations. Revenue is recognized based upon services rendered and expensed in accordance with program contract provisions.

10. Prior Year Summarized Comparative Information:

Information as of and for the year ended September 30, 2012 is presented for comparative purposes only. The complete notes to the consolidated financial statements and certain activity by net asset classification for fiscal 2012 are not included in this report. Accordingly, such information should be read in conjunction with the Fund's consolidated financial statements as of and for the year ended September 30, 2012, from which the summarized comparative information was derived.

11. Functional Allocation of Expenses:

The costs of providing the various programs and other activities of the Fund have been summarized on a functional basis in the accompanying consolidated statement of activities, which includes all expenses incurred for the year. Accordingly, certain costs have been allocated among the programs and other activities benefited. Such allocations are determined by management in accordance with grant and contract provisions.

12. Use of Estimates:

The preparation of consolidated financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

13. Income Taxes:

The Fund has no unrecognized tax benefits at September 30, 2013 and 2012. The Fund's Federal, state and city income tax returns prior to 2010 are closed. The Board of Directors continually evaluates expiring statutes of limitations, audits, proposed settlements, changes in tax law and new authoritative rulings.

Fund for the City of New York, Inc. and Affiliate

Notes to Consolidated Financial Statements

September 30, 2013

(With Comparative Totals for the Year Ended September 30, 2012)

If applicable, the Fund would recognize interest and penalties associated with tax matters as part of general and administrative expenses in the consolidated statements of activities and include accrued interest and penalties in accrued expenses in the consolidated statements of financial position. The Fund did not recognize any interest or penalties associated with tax matters for the years ended September 30, 2013 and 2012.

14. Subsequent Events:

The Fund has evaluated subsequent events through March 26, 2014, which is the date the consolidated financial statements were available to be issued.

Note C - Property and Equipment, Net:

Property and equipment consisted of the following at September 30, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Office condominium	\$ 3,782,660	\$ 3,782,660
Office equipment and furniture	3,163,241	3,145,829
	<u>6,945,901</u>	<u>6,928,489</u>
Less accumulated depreciation	<u>(5,443,246)</u>	<u>(5,326,099)</u>
Property and equipment, net	<u>\$ 1,502,655</u>	<u>\$ 1,602,390</u>

Note D - Concentrations of Credit Risk:

The financial instruments that potentially subject the Fund to concentrations of credit risk consist principally of cash and cash equivalents. At times throughout the year, the Fund's cash balances may exceed the amount of the Federal Deposit Insurance Corporation insurance coverage. The Fund monitors the concentration of credit risk on a regular basis. The Fund does not anticipate nonperformance by these financial institutions. At September 30, 2013, the Fund's uninsured cash balances totaled \$41,439,251.

Concentrations of credit risk with regard to grants and loans receivable are limited due to the nature of the grants and loans. The Fund performs ongoing credit evaluations and establishes an allowance for doubtful accounts based upon factors surrounding the credit risk, historical trends and other information.

Fund for the City of New York, Inc. and Affiliate

Notes to Consolidated Financial Statements

September 30, 2013

(With Comparative Totals for the Year Ended September 30, 2012)

Note E - Investments:

Investments consisted of the following at September 30, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Certificates of deposit	<u>\$3,143,532</u>	<u>\$3,115,384</u>

The Fund's investments as of September 30, 2013 consist of one CD of \$3,143,532 that was purchased on September 26, 2013 bearing interest at 0.85% per annum and matures on September 26, 2014. The Fund's investments as of September 30, 2012 consisted of one CD of \$3,115,384 that was purchased on September 26, 2012 bearing interest at 0.90% per annum and matured on September 26, 2013.

Investment income includes earnings from cash equivalents and investments and consisted of the following for the years ended September 30, 2013 and 2012:

	<u>2013</u>	<u>2012</u>
Interest	<u>\$375,587</u>	<u>\$381,868</u>

Note F - Loans to Civic and Community Organizations and Loans and Advance

Payable:

In 2013, the Fund managed three separate loan funds. The first, which the Fund created in 1976, offers bridge loans for operational expenses to New York City ("NYC") nonprofit organizations experiencing cash flow problems due to delays in receiving payments on government contracts, grants and other sources of revenues as well as loans for capital projects for performing arts organizations. In 1992, in collaboration with the Administration of Mayor David Dinkins, the Fund created the Returnable Grant Fund ("RGF"), whereby the Fund operates a loan program for nonprofits with contracts pending registration with the City.

Fund for the City of New York, Inc. and Affiliate

Notes to Consolidated Financial Statements

September 30, 2013

(With Comparative Totals for the Year Ended September 30, 2012)

The City Mayor's Office of Contracts identifies those agencies that provide social and educational services or cultural programs that are to receive loans. In the winter of 2012, after Hurricane Sandy, the Fund, in partnership with the Mayor's Fund to Advance New York City, created the NYC Nonprofit Recovery Loan Program, which raised \$14 million of loan capital to make recovery loans and grants to New York City nonprofits that provide services in the areas most affected by Hurricane Sandy.

	Loans to Civic and Community Organizations		Loans Payable Advance Payable	
	2013	2012	2013	2012
General Loan Pool (a)	\$ 6,937,782	\$ 9,335,455	\$ 4,500,000	\$ 5,000,000
Returnable Grant Fund (b)	5,478,168	9,811,582	19,544,826	19,697,892
NYC Nonprofit Recovery Loan (c)	14,126,472		14,000,000	
	<u>26,542,422</u>	<u>19,147,037</u>	<u>38,044,826</u>	<u>24,697,892</u>
Less allowance for uncollectible loans	<u>(1,000,000)</u>	<u>(400,000)</u>		
Totals	<u>\$25,542,422</u>	<u>\$18,747,037</u>	<u>\$38,044,826</u>	<u>\$24,697,892</u>

- (a) The Fund's General Loan Pool is funded by permanently restricted contributions and loans from foundations and corporations. As of September 30, 2013 and 2012, permanently restricted contributions are \$10,687,093 and \$10,671,563, respectively. At September 30, 2013, the Fund had two loans totaling \$1,500,000 and a Recoverable Grant for \$3,000,000 restricted to the loan program. At September 30, 2012, the Fund has loans totaling \$2,000,000 and a Recoverable Grant for \$3,000,000 restricted to the loan program.
- (b) The Returnable Grant fund is funded by an agreement between the Fund and the Mayor's Office of Contracts. The loans provided through the Returnable Grant Fund are noninterest bearing to the recipient organization. Of the original \$20,000,000 advance, \$12,000,000, less any loan defaults or administration fee payments, is due in October 2014 and the remaining balance is due in June 2015.
- (c) Contributors to the NYC Nonprofit Recovery Loan are the Ford Foundation, Anonymous, Capital One Bank and the New York Community Trust. These are noninterest bearing loans which mature at various dates through December 31, 2015.

Fund for the City of New York, Inc. and Affiliate

Notes to Consolidated Financial Statements

September 30, 2013

(With Comparative Totals for the Year Ended September 30, 2012)

Note G - Grants and Contracts Receivable, Net:

Grants and contracts receivable, net, consist of amounts to be received during the following fiscal years:

	<u>2013</u>	<u>2012</u>
Receivable in less than one year	\$15,805,171	\$13,335,179
Receivable in one to five years	1,595,900	612,798
Less discounts to net present value	<u>(5,739)</u>	<u>(1,696)</u>
Grants and contracts receivable, net	<u>\$17,395,332</u>	<u>\$13,946,281</u>

The Fund's contracts receivable are all due in less than one year and require no discount.

As of September 30, 2013 and 2012, grants receivable in one to five years are discounted at a risk-adjusted rate of 0.90%. The Fund deems all grants and contracts to be collectible.

Note H - Grants Approved:

The Fund's total approved grants to other organizations for the years ended September 30, 2013 and 2012 were \$8,687,636 and \$1,280,253, respectively. Grants paid to projects in the Fund's partner program from the Fund appropriation and NYC Nonprofit Recovery Grants Loan program are recorded as an expense and totaled \$130,000 and \$241,625 for the years ended September 30, 2013 and 2012, respectively. The remaining portion of the grants was paid to various nonaffiliated not-for-profit organizations.

Note I - Retirement Plan:

The Fund maintains a defined-contribution retirement plan which covers substantially all employees. Employees must contribute 5% of their eligible compensation in order to receive the Fund's matching contribution of 10%. Payments made by the Fund, which have been included in project costs and general and administrative expenses, totaled \$1,383,588 and \$1,337,215 for the years ended September 30, 2013 and 2012, respectively.

Fund for the City of New York, Inc. and Affiliate

Notes to Consolidated Financial Statements

September 30, 2013

(With Comparative Totals for the Year Ended September 30, 2012)

Note J - Expenses:

The following is a summary of expenses for the years ended September 30, 2013 and 2012 by natural classification:

	<u>2013</u>	<u>2012</u>
Salaries and employee benefits	\$28,861,572	\$26,112,585
Professional and consultant fees	9,173,187	7,314,260
Travel, meetings and dues	912,400	701,720
Grants approved	8,687,636	1,280,253
Matching contributions		200,929
Program awards	105,000	120,000
Program departure	5,474,201	766,682
Occupancy	2,206,203	1,988,711
Office and other	4,458,304	4,726,512
Construction and renovation	100,695	55,047
Depreciation	117,147	119,449
Interest	331,126	193,275
	<u>\$60,427,471</u>	<u>\$43,579,423</u>
Totals		

Note K - Lease Commitments:

Total future minimum rental expense under noncancelable operating leases through 2018 and thereafter for facilities at September 30, 2013 is as follows:

Year ending September 30,	2014	\$ 1,147,762
	2015	963,916
	2016	802,070
	2017	742,605
	2018	568,135
	Thereafter	<u>3,517,858</u>
	Total	<u>\$7,742,346</u>

Rent expense for the years ended September 30, 2013 and 2012 totaled \$1,558,894 and \$1,313,923, respectively.

Fund for the City of New York, Inc. and Affiliates

Consolidating Statement of Financial Position
September 30, 2013

	<u>FCNY</u>	<u>National Center</u>	<u>Total Consolidated</u>
<u>Assets</u>			
Cash and cash equivalents	\$ 17,439,478	\$ 2,463,694	\$ 19,903,172
Investments (Note E)	3,143,532		3,143,532
Grants and contracts receivable, net (Note G)	13,990,166	3,405,166	17,395,332
Restricted cash - cash flow loan program	23,596,468		23,596,468
Loans receivable from civic and community organizations, less allowance for uncollectible loans of \$1,000,000 (Note F)	25,542,422		25,542,422
Prepaid expenses and other receivables	136,789		136,789
Security deposits	226,419	7,861	234,280
Property and equipment, net (Note C)	1,502,655		1,502,655
Due to/from FCNY	<u>604,802</u>	<u>(604,802)</u>	
Total assets	<u>\$ 86,182,731</u>	<u>\$ 5,271,919</u>	<u>\$ 91,454,650</u>
<u>Liabilities and Net Assets</u>			
Liabilities:			
Accounts payable and accrued expenses	\$ 2,997,528	\$ 15,704	\$ 3,013,232
Grants payable	233,226	31,774	265,000
City of New York advance payable (Note F)	19,544,826		19,544,826
Loans payable (Note F)	18,500,000		18,500,000
Other liabilities	96,875		96,875
Total liabilities	<u>41,372,455</u>	<u>47,478</u>	<u>41,419,933</u>
Net assets:			
Unrestricted:			
Investment in property and equipment	1,502,655		1,502,655
Residual unrestricted net assets available for operations	<u>11,845,374</u>	<u>238,406</u>	<u>12,083,780</u>
Total unrestricted net assets	<u>13,348,029</u>	<u>238,406</u>	<u>13,586,435</u>
Temporarily restricted	20,775,154	4,986,035	25,761,189
Permanently restricted	<u>10,687,093</u>		<u>10,687,093</u>
Total net assets	<u>44,810,276</u>	<u>5,224,441</u>	<u>50,034,717</u>
Total liabilities and net assets	<u>\$ 86,182,731</u>	<u>\$ 5,271,919</u>	<u>\$ 91,454,650</u>

See Independent Auditor's Report.

Fund for the City of New York, Inc. and Affiliates

Consolidating Statement of Activities
Year Ended September 30, 2013

	Unrestricted			Temporarily Restricted			Permanently Restricted	Eliminations	Total Consolidated
	FCNY	National Center	Total	FCNY	National Center	Total	FCNY		
Revenue and support:									
Contract awards	\$ 28,769,043	\$ 28,457	\$ 28,797,500						\$ 28,797,500
Grants and contributions			-	\$ 27,584,823	\$ 6,508,520	\$ 34,093,343			34,093,343
Program income	6,260,968	23,431	6,284,399	149,099		149,099		\$ (3,575,592)	2,857,906
Investment income (Note E)	350,976	9081	360,057	-		-	\$ 15,530		375,587
Appropriations from National Center	25,000	-	25,000	149,994	(149,994)			(25,000)	
Total revenue and support	35,405,987	60,969	35,466,956	27,883,916	6,358,526	34,242,442	15,530	(3,600,592)	66,124,336
Net assets released from restrictions:									
Restrictions satisfied by performance	28,293,321	1,868,148	30,161,469	(28,293,321)	(1,868,148)	(30,161,469)			
Total revenue, support and net assets released from restrictions	63,699,308	1,929,117	65,628,425	(409,405)	4,490,378	4,080,973	15,530	(3,600,592)	66,124,336
Expenses (Note J):									
Projects costs	45,531,279	1,867,381	47,398,660					(3,575,592)	43,823,068
Program departure	5,474,201		5,474,201						5,474,201
Grants approved - core projects (Note H)	8,655,861	31,775	8,687,636						8,687,636
General and administrative	2,442,566		2,442,566						2,442,566
Appropriations from National Center	-	25,000	25,000					(25,000)	
Total expenses	62,103,907	1,924,156	64,028,063					(3,600,592)	60,427,471
Changes in net assets	1,595,401	4,961	1,600,362	(409,405)	4,490,378	4,080,973	15,530		5,696,865
Net assets, beginning of year	11,752,628	233,445	11,986,073	21,184,559	495,657	21,680,216	10,671,563		44,337,852
Net assets, end of year	\$ 13,348,029	\$ 238,406	\$ 13,586,435	\$ 20,775,154	\$ 4,986,035	\$ 25,761,189	\$ 10,687,093	\$ -	\$ 50,034,717

See Independent Auditor's Report.

Fund for the City of New York, Inc. and Affiliates
CONSOLIDATED SCHEDULES OF CONTRACT AWARDS
For the years ended September 30, 2013 and 2012

	2013	2012
Advocate for Human Potential	\$ 16,237	\$ 50,046
AED Good Shepherd Services	25,000	
AIDS Alliance for Children, Youth and Families		8,333
American Bar Association	7,596	
Association of Prosecuting Attorneys	18,334	112,500
Bank Street College of Education	7,000	
Borough President of Queens	10,000	
Brick City Development Corporation	13,230	
Bronx Community Board 4	10,000	
Bronx Defenders		31,300
Brooklyn Public Library	11,280	19,500
Center for Alternative Sentencing and Employment Services-CASES		25,000
Center for Community Alternatives	12,513	10,172
Center for Court Innovation	17,687	
Center for Economic Progress	26,444	85,000
Center for Employment Opportunity		4,500
Center for Excellence in Financial Counseling at University of Missouri	6,000	
Center for Media Justice		5,000
Center for Public Policy Studies	18,995	
City Harvest Inc.		3,500
City of Newark Budget/Office of Budget Administration	876,260	780,471
City of Newark-Economic & Housing Development	39,007	11,359
Cleveland Municipal Court/City of Cleveland	2,566	
CNY Works, Inc.		1,556
Commonwealth of Massachusetts	86,848	
Community Health Councils	9,600	
Community Partners	35,000	
Coro New York	15,000	
Corporation for Enterprise Project	133,904	
Council of State Governments	2,599	6,267
DB Grant Associates, Inc.		30,000
DC Action	5,000	15,000
Delta Airlines, Inc.	515,293	464,277
Development without Limits	61,741	19,088
Diagnostic Center-Kane County	16,577	10,181
Enterprise Community Partners	5,000	
Extell Development Company		63,642
FEGS	39,771	47,202
Fortune Society	118,000	130,314
Fox Valley Technical College	89,794	44,045
Fund for Public Health in New York, Inc.	3,500	5,147
Fund for Public Schools	26,160	
Futures and Options		16,667
General Secretariat of Organization of American States	20,559	
Good Shepherd Services	2,370	15,000
Henkels & McCoy		4,500

SCHEDULE III

Continued

Fund for the City of New York, Inc. and Affiliates
CONSOLIDATED SCHEDULES OF CONTRACT AWARDS
 For the years ended September 30, 2013 and 2012

	2013	2012
ICANN	\$ 28,457	
Immigrant Legal Resource Center	227,710	\$ 235,890
Issacs Center		16,571
Jamaica Center Business Improvement District		3,000
Jobs First of New York City		22,500
John Jay College of Criminal Justice	988,159	250,269
Mayor's Fund to Advance New York City	206,051	
MDRC	101,113	30,457
Mental Health Association of	22,849	
Montclair State University	4,375	
MTA New York City Transit		14,500
NADCP	2,000	
Nassau County Office of District Attorney	71,081	48,351
National Bureau of Economic Research	7,317	36,583
National Center for State Courts		55,967
National Institute of Justice	582,868	847,378
National Judicial College	49,630	36,305
Nazareth Housing	15,000	
Newark Now		8,750
Neighborhood Housing Services		
New Jersey Transit	248,500	334,981
New Orleans Racial Justice	3,165	
New Yorkers for Children		12,763
New York City Administration for Children's Services	293,851	123,724
New York City Department of Consumer Affairs	310,885	321,040
New York City Department of Correction	330,374	
New York City Department of Cultural Affairs	22,997	
New York City Department of Education	191,200	66,035
New York City Department of Health & Mental Hygiene	1,029,115	20,000
New York City Department of Probation	822,792	358,197
New York City Department of Small Business Services		5,000
New York City Department of Youth and Community Development	1,228,340	1,046,350
New York City Economic Development Corporation	841,055	1,274,903
New York City Human Resources Administration	168,769	82,951
New York City Office of the Mayor/Office of Criminal Justice Coordinato	2,573,108	3,248,512
New York City Police Department		10,663
New York Health and Hospitals Corp.	76,355	136,002
New York Public Library	33,332	17,500
New York State Council on the Arts	28,000	10,600
New York State Division of Criminal Justice Services	888,886	698,669
New York State Higher Education Services	62,500	
New York State Office of National and Community Service	645,593	893,848
New York State Unified Court System	6,168,927	6,040,531
Nonprofit Coordinating Committee	757,922	743,827
Northwest Professional Consortium	69,206	44,650

SCHEDULE III

Continued

Fund for the City of New York, Inc. and Affiliates
CONSOLIDATED SCHEDULES OF CONTRACT AWARDS
For the years ended September 30, 2013 and 2012

	2013	2012
Office of Mental Health	\$ 351,778	\$ 316,162
Office of Refugee Resettlement		614,494
Office on Violence Against Women	727,998	597,818
Opportunities for a Better Tomorrow	36,000	
Parsons Transportation Group	211,268	167,098
Pascua Yaqui Tribe	58,401	
Port Authority of New York & New Jersey	628,209	700,937
Portland State University	12,810	
PS 20 Manhattan Anna Silver School PTA	4,999	
Public Private Ventures		10,000
Queens Library Foundation	8,000	
Research Foundation of CUNY	154,895	110,787
Scottish Government Procurement Division		21,776
Second Chance San Diego		9,000
Single Stop USA	394,000	307,964
St. John's University	14,005	
Stanley Isaacs Neighborhood Center		3,250
STRIVE	9,143	
Supreme Court of Ohio-Judicial	3,840	
Teacher's college	4,999	
The Bronx Defenders	50,000	
The Dermot Company	132,295	
Tides Center		392,500
Tiger Foundation		12,500
Tribeca Film Institute	39,375	
Trustees of Columbia University	7,282	
UN AIDS		11,021
Unified Courts of Madison County		7,500
United States Department of Justice	4,348,005	4,836,453
United States Department of States	12,655	
United States Small Business Administration		280,647
United Way for Southeastern Michigan	15,000	
United Way of Central Ohio	25,575	
United Way of New York City	39,760	495,000
University of New Brunswick		14,254
Urban Institute	20,000	
Vera Institute of Justice	200	
Westchester County Youth Bureau		20,958
William T. Grant Foundation	70,018	38,140
YouthBuild USA, Inc.	10,643	19,898
Total	\$ 28,797,500	\$ 28,104,991

See Independent Auditor's Report.

SCHEDULE IV

Fund for the City of New York, Inc. and Affiliates
CONSOLIDATED SCHEDULES OF GRANTS AND CONTRIBUTIONS
 For the years ended September 30, 2013 and 2012

	2013	2012
Abigail Disney/Daphne Foundation		\$ 70,000
A Kinder World Foundation	\$ 7,500	
Advocacy Fund		50,000
Alfred P. Sloan Foundation	790	1,499,650
Altman Foundation	282,344	80,000
American Express Philanthropy Foundation	50,000	
AmeriCares Foundation, Inc.	100,000	
Annie E. Casey Foundation	20,000	
Anonymous I	160,000	120,000
Anonymous II	50,000	
Arcus Foundation		160,000
Arnhold Foundation	168	149,832
Bank of America Charitable Foundation		57,500
Bank of Tokyo Mitsubishi Foundation	5,000	
Barker Welfare Foundation	6,000	
Bay and Paul Foundation	10,000	7,500
Bernard F. and Alva B. Gimbel Foundation	50,000	50,000
Bernstein Family Foundation	2,000	2,000
Black Male Donor Collaborative		100,000
Bloomberg Philanthropies	100,000	
Blue Ridge Foundation	250,000	
BMI Foundation	1,500	1,000
Booth Ferris Foundation	200,000	75,000
Brenner Family Foundation	10,000	10,000
Brick Presbyterian Church	5,000	8,000
Broadway Cares Equity Fights AIDS	25,000	25,000
Brooklyn Community Foundation	110,000	70,000
Bucks Creek Foundation	10,000	
Bulova Stetson Fund		10,000
Capital One Foundation	175,000	132,500
Carnegie Corporation of New York	6,030,309	
Catherine & Joseph Aresty Foundation	50,000	
Charles Schwab Foundation		10,000
Citi Foundation		55,000
Citizens Committee For NYC	2,000	3,000
Clark Foundation	100,000	125,000
Columbia University		3,000,000
Common Counsel Foundation	5,000	
Community Development Financial Institution		100,000
Community Health Foundation of Western and Central New York		50,000
Concord Baptist Church of Christ		5,000
Countess Moira Charitable	30,000	
Cricket Island Foundation		3,000
D'Addario Music Foundation		2,500

SCHEDULE IV

Continued

Fund for the City of New York, Inc. and Affiliates
CONSOLIDATED SCHEDULES OF GRANTS AND CONTRIBUTIONS

For the years ended September 30, 2013 and 2012

	2013	2012
Dallas Women's Foundation		\$ 10,000
David Geffen Foundation		5,000
David Rockefeller Fund	\$ 35,000	30,000
Deutsche Bank Americas Foundation		10,000
Dobkin Family Foundation	60,000	
Durst Family Foundation	25,000	25,000
Edward & Ellen Roche Relief Foundation	10,000	
Edward S. Moore Family Foundation	15,000	15,000
Elton John AIDS Foundation	110,000	100,000
Embrey Family Foundation	15,000	
Empire State Development Corporation		60,000
Fan Fox & Samuels Foundation	10,000	10,000
Fidelity Foundation	149,888	
Ford Foundation	825,000	300,000
Frank & Ruth E. Caruso Foundation	15,000	25,000
Funding Exchange		18,000
Gilead Health Care Grants	15,000	15,000
Gill Foundation	10,000	
Harry and Jeanette Weinberg Foundation	35,000	
Hedge Funds Care	40,000	40,000
Henry and Lucy Moses Fund	30,000	30,000
Henry Van Ameringen Foundation	25,000	25,000
Horace W. Goldsmith Foundation	222	99,778
HSBC Community Development		8,000
Humanity United		15,000
Hyde and Watson Foundation	5,000	5,000
Innovations for Poverty Action		15,000
Intersections International Restricted Fund		5,000
Ira W. DeCamp Foundation	125,000	115,000
Jacquelyn & Gregory Zehner Foundation	5,000	
J.C. Flowers Foundation		144,054
J. & F. Roblee Foundation		10,000
J.P. Morgan Chase Foundation		10,000
Janssen Therapeutics	105,000	20,000
Jewish Communal Fund	1,000,000	
John M. Lloyd Foundation	37,500	20,000
John S. & James L. Knight Foundation	340,000	
Johnson Ohana Charitable Foundation	4,000	
Laura B. Vogler Foundation	4,000	
La Vida Feliz Foundation	2,000	
Lawrence T. Ginsberg Family	5,000	
Leon Lowenstein Foundation	25,000	40,000
Leona M. & Harry B. Helmsley Charitable Trust	100,000	
Lester Fund		5,000
Leverage Investment in Creativity	50,000	5,000

SCHEDULE IV

Continued

Fund for the City of New York, Inc. and Affiliates
CONSOLIDATED SCHEDULES OF GRANTS AND CONTRIBUTIONS
 For the years ended September 30, 2013 and 2012

	2013	2012
Liz Claiborne & Art Ortenberg Foundation	\$ 10,000	\$ 75,000
Lou Gehrig Society		13,000
MAC AIDS Fund		150,000
Marble Collegiate Church	3,000	
Marion E. Kenworthy-Sarah H. Swift Foundation	10,000	
Maximus Foundation		2,000
Mayor's Fund to Advance New York City	10,000,000	30,000
McCormick Foundation		
Mertz Gilmore Foundation	30,000	50,000
Mizuho USA Foundation	76,000	
Moriah Fund	50,000	40,000
Mozilla		14,500
Ms. Foundation for Women	10,000	25,000
Murray & Beatrice Sherman Charitable Trust	50,000	50,000
M & T Charitable Foundation	10,000	
National Grid	250,000	250,000
National Speakers Association		4,000
National Philanthropic Trust/Bloomberg Philanthropies	411	149,589
National Youth Employment Coalition		100,399
New Yankee Stadium Benefit Fund		10,000
New York Bar Foundation	9,000	13,000
New York City Coalition against Hunger	4,500	
New York City Landmarks Preservation Commission	1,127,516	
New York City Police Foundation	65,000	
New York Community Trust	570,550	965,910
New York Foundation	124,300	126,800
New York Life Foundation		150,000
New York Stock Exchange Foundation	50,000	
New York University Community Fund		1,500
New York Women's Foundation	230,000	65,000
Newman's Own Foundation	95,000	
North Star Fund	25,000	11,500
Northern Manhattan Arts Alliance	2,500	
NoVo Foundation	525,000	245,000
NYSE Euronext Foundation	15,000	
Open Society Institute	200,000	400,000
Oram Foundation, Inc.	20,000	
Ostgrodd Foundation	17,000	16,000
Pershing Square Foundation		10,000
Peter Jay Sharp Foundation	15,000	
Pinkerton Foundation	674,764	50,000
Probitas Foundation, Ltd	132,660	
Prospect Hill Foundation	25,000	25,000
Proteus Fund/Media Democracy		60,000

SCHEDULE IV

Continued

Fund for the City of New York, Inc. and Affiliates
CONSOLIDATED SCHEDULES OF GRANTS AND CONTRIBUTIONS
 For the years ended September 30, 2013 and 2012

	2013	2012
Prudential Foundation		\$ 300,000
Public Interest Project	\$ 260,000	
Red Hook Coalition	80,000	
Redlich Horwitz Foundation	10,000	
Regional Plan Association	25,211	
Reis Foundation, Inc.		5,000
Robert Sterling Clark Foundation	75,000	
Robin Hood Foundation	1,305,000	1,385,000
Rockefeller Brothers Fund	75,000	
Rockefeller Foundation	325,105	2,124,895
Rockefeller Philanthropy Advisors	25,000	
Rose Foundation	100,000	
Scherman Foundation	40,000	
Schott Foundation for Public Education	50,000	
Scott Foundation	30,000	
Skadden, APRS, Slate, Meagher & Flom LLP		50,000
Stavros Niarchos Foundation	50,000	
Stella & Charles Guttman Foundation	185,000	60,000
Sunshine Lady Foundation	85,000	85,000
Target Foundation	80,000	
TD Charitable Foundation	11,000	10,000
The FAR Fund	25,000	25,000
The Hadley Trust	318,700	
The Herb Block Foundation		10,000
The Lincoln Fund		17,000
Tides Center	33,827	775,873
Tiger Baron Foundation	40,962	5,000
Union Square Awards Fund		25,000
United Way of New York City	58,000	117,000
U.S. Soccer Foundation Inc.	98,471	77,558
Valentine Perry Snyder Fund	80,000	50,000
Victoria Foundation	20,000	
Viking Global Foundation	50,000	
Vital Projects Fund, Inc.		25,000
W.Clement & Jessie V. Stone Foundation	60,000	60,000
Wells Fargo Foundation		10,000
West Harlem Local Development	21,250	
Women in the World Foundation		10,000
Contributions	4,968,395	3,126,269
Total	\$ 34,093,343	\$ 18,723,107

See Independent Auditor's Report.

Fund for the City of New York, Inc. and Affiliates

Schedule of Expenditures of Federal Awards
Year Ended September 30, 2013

<u>Federal Grantor/Pass-through Grantor/Program Title</u>	<u>CFDA Number</u>	<u>Contract Number</u>	<u>Federal Expenditures</u>
U.S. Department of Commerce			
ARRA - LAMP/Brooklyn Public Library	11.557	36-42-B10567	\$ 11,280
<i>Total U.S. Department of Commerce</i>			<u>11,280</u>
U.S. Department of Housing and Urban Development			
Community Development Block Grants - Entitlement Grants Cluster; College Initiative/City University of New York - Research Foundation	14.218	57109-B	27,225
<i>Total U.S. Department of Housing and Urban Development</i>			<u>27,225</u>
U.S. Department of Justice			
JAG Program Cluster:			
PSC - BJA12/The Bronx Defenders	* 16.738	2012-DB-BX-K011	50,000
ARRA - Midtown Community Court/NYC EDC CFY 12	* 16.804	18790002	220,922
ARRA - Red Hook/NYC EDC CFY 12	* 16.804	18790002	107,392
<i>Total JAG Program Cluster</i>			<u>328,314</u> <u>378,314</u>
DV - OVW CMC DV TA/The City of Cleveland/The Cleveland Municipal Court	16.013	2011-WC-AX-0002	2,566
BJA NOLA RJP JCA/New Orleans Racial Justice Improvement Project thru ABA Day One - DOJ/OVAW	16.015 16.018	2009-DG-BX-KO28 2010-WY-AX-K020	3,165 118,258
Crown Heights - BJA SOS Enhancement/OJJDP OJJDP/City of Newark/Office of Reentry	16.123 16.123	2010-PB-FX-K013 2011-PB-FX-K001	824,368 818
			<u>825,186</u>
CCI - DV/NIJ Smart Office/The National Judicial College Day One - DOJ/OVAW	16.203 16.524	2011-WP-BX-K001 2009-WL-AX-0028	49,630 212,390
CCI/DV OVW - LEP TTA/Office on Violence Against Women CCI/DV Comp 10 TA/Office on Violence Against Women CCI/DV PO TECH TA/Office on Violence Against Women	16.526 16.526 16.526	2012-TA-AX-K023 2011-TA-AX-K032 2011-TA-AX-K126	74,183 234,784 88,383
			<u>397,350</u>
DV - CSEC/Office of Juvenile Justice and Delinquency Prevention	16.543	2009-MC-CX-0001	<u>99,039</u>
PSC - NIJ/Northwest Professional Consortium (NPC Research) PSC - NIJ/Pretrial DivRsch/National Institute of Justice DV - Violence Reduce/National Institute of Justice DV - AG Eval CEV1/National Institute of Justice DC - NIJ/NYC Drug/National Institute of Justice	16.560 16.560 16.560 16.560 16.560	2010-RY-BX-0001 2012-IJ-CX-0036 2010-MU-MU-0012 2010-IJ-CX-0015 2010-IJ-CX-0031	69,206 125,411 116,077 281,039 60,341
			<u>652,074</u>
PSC - BJA 12/Association of Prosecuting Attorneys - APA City of Newark/NJ/Office of Reentry Res Coord Nassau County Office of District Attorney	16.751 16.812 16.922	2012-DP-BX-K012 2010-CZ-BX-0060 CQDA13000019	18,334 38,189 6,144
<i>Subtotal</i>			<u>2,800,639</u>
<u>Pass-through NYS Division of Criminal Justice Services</u>			
JAG Program Cluster:			
ARRA - BCJC- Byrne Ceasefire/NYS Division of Criminal Justice Services	* 16.803	C632641	75,251
ARRA - College Initiative - Division of Criminal Justice Services	* 16.803	T637119	19,917
ARRA - Public Safety Accountability Project/NYS DCJS	* 16.803	C632575	81,012
ARRA - Public Safety Accountability Project - CORE/DCJS	* 16.803	C632712	195,040
<i>Subtotal</i>			<u>371,220</u>
ARRA - Public Safety Accountability Project - Phase 3/NYS DCJS	* 16.738	C632717	42,730
ARRA - Public Safety Accountability Project - Meares Eval/NYS DCJS	* 16.738	T632716	27,337
<i>Subtotal</i>			<u>70,067</u>
<i>Total JAG Program Cluster</i>			<u>441,287</u>
QUEST/DCJS/NYS Office of Criminal Justice Coordinator	16.523	CT20110000814/C612024 / C612076/C612115/C612185	255,066
Staten Island Respite/NYS Division of Criminal Justice Services	16.540	C1612081	186,816
HCJC BJA/NYC Office of the Mayor/NYS Office of Criminal Justice Coordinator	16.812	2009-CZ-BX-0051	27,465
HCJC BJA SCA/OCJC	16.812	2009-CZ-BX-0051	355,220
<i>Subtotal</i>			<u>382,685</u> <u>1,265,854</u>

Fund for the City of New York, Inc. and Affiliates

Schedule of Expenditures of Federal Awards
Year Ended September 30, 2013

<u>Federal Grantor/Pass-through Grantor/Program Title</u>	<u>CFDA Number</u>	<u>Contract Number</u>	<u>Federal Expenditures</u>
<u>Pass-through Violence Against Women Grants/NYS Unified Court System</u>			
DV - OVW 11 YODVC/NYS Unified Court System	16.013	C500909, Amend #17, Appndx #B-29	\$ 47,166
DV - OVW 10 Manh SO/NYS Unified Court System	16.013	C500909, Amend #11, Appndx #B-23	101,238
DV - OVW UCA Albany/NYS Unified Court System	16.013	C500909, Amend #32, Appndx #B-44	29,012
			<u>177,416</u>
DC - BJA 10 - OCA Statewide Education/NYS Unified Court System	16.585	C500909, Amend #1, Appndx #B-3 & Amend #39, Appndx #B-51	5,945
DC - BJA - 10 Syracuse Treatment Court/NYS Unified Court System	16.585	C500909, Amend #1, Appndx #B-5 & Amend #29, Appndx #B-41	68,315
DC - BA UCS Stwde MAT/NYS Unified Court System	16.585	C500909, Amend #31, Appndx #B-43	42,999
DC - UCS Online Vets/NYS Unified Court System	16.585	C500909, Amend #27, Appndx #B-39	130,404
			<u>247,663</u>
DV - STOP 2011/NYS Unified Court System	16.588	C500909, Amend #24, Appndx #B-36	100,929
DV - STOP 10 TTA/NYS Unified Court System	16.588	C500909, Amend #5, Appndx #B-17	30,522
DV - STOP 10 TTA/NYS Unified Court System	16.588	C500909, Amend #19, Appndx #B-31	20,000
DV - STOP 2012/NYS Unified Court System	16.588	C500909, Amend #38 Appndx #B-50	32,447
			<u>183,898</u>
DV - OVW 10 Queens DC/NYS Unified Court System	16.590	C500909, Amend #7, Appndx #B-19	101,543
DV Veterans/NYS Unified Court System	16.590	C500909, Amend #18, Appndx #B-30	83,301
			<u>184,844</u>
Subtotal			<u><u>793,821</u></u>
<u>Pass-through Bureau of Justice Assistance, OJP</u>			
JAG Program Cluster:			
ARRA - DC - Rock Judicial Div/NYS Unified Court System	* 16.803	C500909, Amend #8, Appndx #B-20	770
<i>Total JAG Program Cluster</i>			<u>770</u>
PSC - BJA 11 Tribal JEP	16.580	2011-IC-BX-K039	388,862
PSC - BJA 11 TTA/OJP	16.580	2011-DC-BX-K002	649,576
PSC - BJA 10 Failures/OJP	16.580	2010-DJ-BX-K033	304,004
CCI - PSC BJA 12 NYC MEBA	16.580	2012-DB-BX-K003	140,912
PSC BJA IASA YR2/Fox Valley Technical College	16.580	2010-AC-BX-K001	15,831
			<u>1,499,185</u>
CCI - DV - OVC/Office for Victims of Crime	16.582	2011-VF-GX-K027	174,502
DC Trauma ICI/NYS Unified Court System	16.585	C500909, Amend #13, Appndx #B-25	107,927
DC BJA Statewide DCTTA 12/Bureau of Justice Programs	16.585	2012-DC-BX-K006	94,171
MCC/BJA UCS MMT/NYS Unified Court System	16.585	C500909, Amend #15, Appndx #B-27	123,343
BJA/DC - TTA	16.585	2009-DC-BX-K001	40,552
DC - BJA Stwde DCTTA	16.585	2010-DC-BX-K070	509,287
			<u>875,280</u>
PSC BJA StraPlan/Fox Valley Technical College	16.608	2012-IC-BX-K004	73,963
PSC BJA 12 Tribal TAJI	16.608	2012-IC-BX-K005	252,050
			<u>326,013</u>
USAONYED 2010 PSN/BJA	16.609	2010-GP-BX-0075	3,949
USAONYED 2011 PSN/BJA	16.609	2011-GP-BX-0075	74,762
USAONYSD 2010 PSN/BJA	16.609	2010-GP-BX-0074	735
USAONYSD 2011 PSN/BJA	16.609	2011-GP-BX-0069	63,952
			<u>143,398</u>
COPS Vermont MEBA/Office of Community Oriented Policing Services	16.710	2011-CK-WX-K027	76,049
COPS CPD Survey Div/Office of Community Oriented Policing Services	16.710	2012-CK-WX-K014	31,464
COPS YJP/Office of Community Oriented Policing Services	16.710	2012-CK-WX-K032	55,040
			<u>162,553</u>
Tribal Research/OJJDP	16.731	2011-MU-FX-0003	150,755
Bronx BCS - BJA/NYC Administration for Children Services	16.745	2010-MO-BX-0001	93,851
BJA MMH/NYS Unified Court System	16.745	2011-MO-BX-0022	39,274
			<u>133,125</u>
PSC - BJA Crim Ct Comm/OJP	16.751	2010-DB-BX-K050	238,062
PSC - BJA CSG MH TA/The Council of State Governments	16.751	2010-DB-BX-K049	2,599
PSC - BJS/Committee for Public Counsel Services, Commonwealth of Massachusetts	16.751	2012-DB-BX-0010	86,848
			<u>327,509</u>
DV - BJS DCJS/NYS Unified Court System	16.813	C500909, Amend#10, Appndx#B-22	369,628
DV - BJS DCJS UCS NICS 2/NYS Unified Court System	16.813	C500909, Amend#28, Appndx#B-40	28,867
			<u>398,495</u>

Fund for the City of New York, Inc. and Affiliates

Schedule of Expenditures of Federal Awards
Year Ended September 30, 2013

<u>Federal Grantor/Pass-through Grantor/Program Title</u>	<u>CFDA Number</u>	<u>Contract Number</u>	<u>Federal Expenditures</u>
BCJC Byrne BAVP/Bureau of Justice Assistance	16.817	2012-AJ-BX-0012	\$ 175,914
<i>Subtotal</i>			<u>4,367,499</u>
<i>Total U.S. Department of Justice</i>			<u>9,227,813</u>
U.S. Department of Labor			
CCI - CCA DOL PSI Yr 2/Center for Community Alternatives	17.261	MI-21915-11-60A-36	9,148
CCI - CCA DOL PSI Yr 3/Center for Community Alternatives	17.261	MI-21915-11-60A-36	3,365
<i>Total U.S. Department of Labor</i>			<u>12,513</u>
U.S. Department of State			
TA International Consulting/US Department of State	19.040	S-IN650-13-GR-191	12,655
<i>Total U.S. Department of State</i>			<u>12,655</u>
U.S. Department of Transportation			
Regional Alliance/DOT/New Jersey Transit	20.905	07-061	248,500
<i>Total U.S. Department of Transportation</i>			<u>248,500</u>
U.S. Environmental Protection Agency			
Cafeteria Culture/Teacher's College	66.951	515119	4,999
Cafeteria Culture/PS20 Manhattan PTA	66.951	515119	4,999
<i>Total U.S. Environmental Protection Agency</i>			<u>9,998</u>
U.S. Department of Health and Human Services			
SAMHSA - BHTC Eval/Advocates for Human Potential, Inc.	93.243	HHSS2832007000381/HHSS28342005T	16,237
BCG SIG Col. Yr1/National Institute of Health/Trustee of Columbia University	93.279	2 (GG008768)	7,282
PSC DHHS ACS/Pascua Yaqui Tribe	93.658	90CS0027/01	58,401
<i>Subtotal</i>			<u>81,920</u>
<u>Pass-through NYS Unified Court System</u>			
Med. Mal/NYS Unified Court System	93.226	C500749, Amend#73, Appendix #G-61 & C500909, Amend. #20, Appendix B-32	53,093
<i>Subtotal</i>			<u>53,093</u>
<u>Pass-through Temporary Assistance for Needy Families (TANF)</u>			
Temporary Assistance for Needy Families (TANF) Cluster:			
QUEST OCFS/NYS Office of Children and Family Services	93.558	C026179	202,638
<i>Subtotal</i>			<u>202,638</u>
<u>Pass-through NYC Department of Youth and Community Development</u>			
Community Services Block Grants Cluster:			
MCC/Fatherhood Initiative Ops2 CFY14/NYC DYCD	93.569	91337.0 (9805/6780)	59,370
MCC/Fatherhood Initiative Ops3 CFY14/NYC DYCD	93.569	91338.0 (9805/6780)	38,575
MCC/Fatherhood Initiative Ops2 CFY13/NYC DYCD	93.569	91337.0 (9805/6780)	250,766
MCC/Fatherhood Initiative Ops3 CFY13/NYC DYCD	93.569	91338.0 (9805/6780)	140,738
HCJC - NDA - HRC Yr5/NYC DYCD	93.569	831101A	14,153
HCJC - NDA - HRC CFY13/NYC DYCD	93.569	831101 - Amendment #1	54,622
<i>Subtotal</i>			<u>558,224</u>
<i>Total U.S. Department of Health and Human Services</i>			<u>895,875</u>
U.S. Department of Education			
College Initiative/NYS Higher Education Services Corp	84.378	T112231	50,000
College Initiative/NYS Higher Education Services Corp	84.378	T112263	12,500
<i>Total U.S. Department of Education</i>			<u>62,500</u>
Corporation for National and Community Service			
Red Hook/AmeriCorps 17/NYS OFC of Children and Families Services	94.006	C026221	52,964
Red Hook/AmeriCorps 18/NYS OFC of Children and Families Services	94.006	C026221	389,989
			<u>442,953</u>
YDI/MDRC	94.019	10SIHNY002	68,653
<i>Total Corporation for National and Community Service</i>			<u>511,606</u>
Executive Office of the President			
DC - DOS/OAS Mexico TA/General Secretarial of the Organization of American States	95.005	256858	20,559
<i>Total Executive Office of the President</i>			<u>20,559</u>
Social Security Administration			
Financial Clinic -National Bureau of Economic Research	96.007	25-5085-00-0-37-702	7,317
<i>Total Executive Office of the President</i>			<u>7,317</u>
Total Federal Award Expenditures			<u>\$ 11,047,841</u>

* These CFDA numbers are part of the Justice Assistance Grant (JAG) Program Cluster

See Independent Auditor's Report and Notes to Schedule of Expenditures of Federal Awards.

Fund for the City of New York, Inc. and Affiliates

**Notes to Schedule of Expenditures of Federal Awards
September 30, 2013**

Note 1 - Basis of presentation:

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the Federal grant activity of the Fund for the City of New York, Inc. and Affiliates (the "Fund") under programs of the Federal government for the year ended September 30, 2013. The information in this Schedule is presented in accordance with the requirements of U.S. Office of Management and Budget ("OMB") Circular A-133, "Audits of States, Local Governments and Non-Profit Organizations." Because the Schedule presents only a selected portion of the operations of the Fund, it is not intended to and does not present the consolidated financial position, changes in net assets, or cash flows of the Fund.

Note 2 - Summary of significant accounting policies:

- (1) Expenditures reported on the Schedule are reported on the accrual basis of accounting. Such expenditures are recognized following the cost principles contained in OMB Circular A-122, *Cost Principles for Non-Profit Organizations*, wherein certain types of expenditures are not allowable or are limited as to reimbursement.
- (2) Pass-through entity identifying numbers are presented where available.

Note 3 - Subrecipients:

Of the amounts expended in the accompanying Schedule, the Fund provided Federal awards to subrecipients as follows:

Program Title	Federal CFDA #	Amount Provided to Subrecipient
Day One-DOJ/OVAW	16.018	\$ 129,860
Day One-DOJ/OVAW	16.524	263,413
CCI-DV-OWV-LEP TTA	16.526	14,996
Staten Island Respite/NYS DCJS	16.540	86,927
OJJDP DV-CSEC	16.543	30,925

Fund for the City of New York, Inc. and Affiliates

**Notes to Schedule of Expenditures of Federal Awards
September 30, 2013**

Program Title	Federal CFDA #	Amount Provided to Subrecipient
PSC NIJ Pretrial DivRsch/National Institute of Justice	16.560	\$ 39,558
USAONYED 2010 PSN/BJA USAONYED 2011 PSN/BJA USAONYSD 2011 PSN	16.609	133,799
Tribal Research/OJJDP	16.731	42,195
PSC-BJA Crim Ct Comm/OJP	16.751	1,570
ARRA-Public Safety Accountability Project- CORet/NYS DCJS	16.803	71,301
BCJC Byrne BAVP/Bureau of Justice Assistance	16.817	14,583
QUEST OCFS/NYS Office of Children and Family Services	93.558	38,912
Total		<u>\$868,039</u>

Independent Auditor's Report on Internal Control over
Financial Reporting and on Compliance and Other Matters Based on an Audit of
Financial Statements Performed in Accordance with *Government Auditing Standards*

To the Board of Directors
Fund for the City of New York, Inc.

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the consolidated financial statements of the Fund for the City of New York, Inc. and Affiliates (the "Fund"), which comprise the consolidated statement of financial position as of September 30, 2013, and the related consolidated statements of activities and cash flows for the years then ended, and the related notes to the consolidated financial statements, and have issued our report thereon dated March 26, 2014.

Internal Control over Financial Reporting

In planning and performing our audit of the consolidated financial statements, we considered the Fund's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the consolidated financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Fund's internal control. Accordingly, we do not express an opinion on the effectiveness of the Fund's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's consolidated financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Fund's consolidated financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Fund's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Fund's internal control and compliance. Accordingly, this communication is not suitable for any other purposes.

The image shows a handwritten signature in cursive script that reads "CohnReznick LLP". The signature is written in black ink and is positioned above the typed name and date.

New York, New York
March 26, 2014

Independent Auditor's Report on Compliance for
Each Major Federal Program and Report on Internal
Control over Compliance Required by OMB Circular A-133

To the Board of Directors
Fund for the City of New York, Inc.

Report on Compliance for Each Major Federal Program

We have audited the Fund for the City of New York, Inc. and Affiliates' (the "Fund") compliance with the types of compliance requirements described in the OMB Circular A-133 *Compliance Supplement* that could have a direct and material effect on each of the Fund's major Federal programs for the year ended September 30, 2013. The Fund's major Federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts and grants applicable to its Federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the Fund's major Federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major Federal program occurred. An audit includes examining, on a test basis, evidence about the Fund's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major Federal program. However, our audit does not provide a legal determination of the Fund's compliance.

Opinion on Each Major Federal Programs

In our opinion, the Fund complied, in all material respects, with types of compliance requirements referred to above that could have a direct and material effect on each of its major Federal programs for the year ended September 30, 2013.

Report on Internal Control over Compliance

Management of the Fund is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Fund's internal control over compliance with the types of requirements that could have a direct and material effect on each major Federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major Federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Fund's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a Federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a Federal program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a Federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.



New York, New York
March 26, 2014

Fund for the City of New York, Inc. and Affiliates

Schedule of Findings and Questioned Costs Year Ended September 30, 2013

I. Summary of Auditor's Results:

Consolidated Financial Statements

Type of auditor's report issued: Unmodified

Internal control over financial reporting:

- Material weakness(es) identified? ___ yes X no
- Significant deficiency(ies) identified? ___ yes X none reported

Noncompliance material to consolidated financial statements noted? ___ yes X no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? ___ yes X no
- Significant deficiency(ies) identified? ___ yes X none reported

Type of auditor's report issued on compliance for major programs: Unmodified

Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of OMB Circular A-133? ___ yes X no

Identification of major programs:

<u>Federal Agency Grantor/Program Title</u>	<u>Name of Federal Program or Cluster</u>	<u>Federal CFDA Number</u>
U.S. Department of Justice	Edward Byrne Memorial State and Local Law Enforcement Assistance Discretionary Grants Program	16.580
	Edward Byrne Memorial Competitive Grant Program	16.751
	JAG Program Cluster:	
	Edward Byrne Memorial Justice Assistance Program	16.738
	Edward Byrne Memorial JAG Program/Grants to States and Territories	16.803
	Edward Byrne Memorial JAG Program/Grants to Units of Local Governments	16.804
	NICS Act Record Improvement Program	16.813

Fund for the City of New York, Inc. and Affiliates

**Schedule of Findings and Questioned Costs
Year Ended September 30, 2013**

Dollar threshold used to distinguish between type A and
type B programs:

\$331,435

Auditee qualified as low-risk auditee?

yes no

II. Findings – Consolidated Financial Statements Reported in Accordance with *Government Auditing Standards*:

None

III. Findings and Questioned Costs Relating to Federal Awards:

None

FUND FOR THE CITY OF NEW YORK, INC.

Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

If you checked the box in question 4.a. on page 1, complete the following schedule for each PFR, FRC or CCV that the organization engaged for fund raising activity in NY State:

1. Type of fund raising professional (FRP):
- | | |
|--------------------------------|-------------------------------------|
| Professional fund raiser | <input checked="" type="checkbox"/> |
| Fund raising counsel | <input type="checkbox"/> |
| Commercial co-venturer | <input type="checkbox"/> |

2. Name of FRP:

RUBY SLIPPERS FUNDRAISING LLC

Number and street (or P.O. box if mail is not delivered to street address):

211 31ST ST.

City or town, state or country and ZIP + 4:

BROOKLYN, NY 11232

3. FRP telephone number:

4. Services provided by FRP (provide description):

TO PROVIDE FUNDRAISING SERVICES.

5. Compensation arrangement with FRP (provide description):

RUBY SLIPPERS FUNDRAISING LLC IS PAID BY THE FUND FOR THE CITY OF NEW YORK FOR SERVICES RENDERED FROM 10/01/12 THROUGH 09/30/13.

6. Dates of contract 10/01/2012 through 09/30/2013
(mm/dd/yyyy) (mm/dd/yyyy)

7. Amount paid to FRP \$ 80,816.

8. If services were provided by a CCV, did the CCV provide the charitable organization with the interim report(s) required by §§ 173-a. 3 of the Executive Law?

FUND FOR THE CITY OF NEW YORK, INC.

Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

If you checked the box in question 4.a. on page 1, complete the following schedule for each PFR, FRC or CCV that the organization engaged for fund raising activity in NY State:

- 1. Type of fund raising professional (FRP):
 - Professional fund raiser
 - Fund raising counsel
 - Commercial co-venturer

2. Name of FRP:
A.C. MARMO INC.
 Number and street (or P.O. box if mail is not delivered to street address):
273 FILBERT ST. # 1
 City or town, state or country and ZIP + 4:
SAN FRANCISCO, CA 94133

3. FRP telephone number:

4. Services provided by FRP (provide description):
TO PROVIDE FUNDRAISING SERVICES.

5. Compensation arrangement with FRP (provide description):
THE FUNDRAISER IS PAID BY THE FUND FOR THE CITY OF NEW YORK FOR SERVICES RENDERED FROM 10/01/11 THROUGH 09/30/12.

6. Dates of contract 10/01/2012 through 09/30/2013
(mm/dd/yyyy) (mm/dd/yyyy)

7. Amount paid to FRP \$ 6,975.

8. If services were provided by a CCV, did the CCV provide the charitable organization with the interim report(s) required by §§ 173-a. 3 of the Executive Law?

FUND FOR THE CITY OF NEW YORK, INC.

Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

If you checked the box in question 4.a. on page 1, complete the following schedule for each PFR, FRC or CCV that the organization engaged for fund raising activity in NY State:

- 1. Type of fund raising professional (FRP):
 - Professional fund raiser
 - Fund raising counsel
 - Commercial co-venturer

2. Name of FRP:
DAVID A. KAPLAN, ESQ.
 Number and street (or P.O. box if mail is not delivered to street address):
225 W. 25TH STREET
 City or town, state or country and ZIP + 4:
NEW YORK, NY 10001

3. FRP telephone number:

4. Services provided by FRP (provide description):
TO PROVIDE FUNDRAISING SERVICES.

5. Compensation arrangement with FRP (provide description):
THE FUNDRAISER IS PAID BY THE FUND FOR THE CITY OF NEW YORK FOR SERVICES RENDERED FROM 10/01/12 THROUGH 09/30/13.

6. Dates of contract 10/01/2012 through 09/30/2013
(mm/dd/yyyy) (mm/dd/yyyy)

7. Amount paid to FRP \$ 3,975.

8. If services were provided by a CCV, did the CCV provide the charitable organization with the interim report(s) required by §§ 173-a. 3 of the Executive Law?

FUND FOR THE CITY OF NEW YORK, INC.

Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

If you checked the box in question 4.a. on page 1, complete the following schedule for each PFR, FRC or CCV that the organization engaged for fund raising activity in NY State:

1. Type of fund raising professional (FRP):

- Professional fund raiser
- Fund raising counsel
- Commercial co-venturer

2. Name of FRP:

CHRISTINE MICHELE YU

Number and street (or P.O. box if mail is not delivered to street address):

309 3RD STREET # 31

City or town, state or country and ZIP + 4:

BROOKLYN, NY 11215

3. FRP telephone number:

4. Services provided by FRP (provide description):

TO PROVIDE FUNDRAISING SERVICES.

5. Compensation arrangement with FRP (provide description):

THE FUNDRAISER IS PAID BY THE FUND FOR THE CITY OF NEW YORK FOR SERVICES RENDERED FROM 10/01/12 THROUGH 09/30/13.

6. Dates of contract 10/01/2012 through 09/30/2013
(mm/dd/yyyy) (mm/dd/yyyy)

7. Amount paid to FRP \$ 14,780.

8. If services were provided by a CCV, did the CCV provide the charitable organization with the interim report(s) required by §§ 173-a. 3 of the Executive Law?

FUND FOR THE CITY OF NEW YORK, INC.

Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

If you checked the box in question 4.a. on page 1, complete the following schedule for each PFR, FRC or CCV that the organization engaged for fund raising activity in NY State:

1. Type of fund raising professional (FRP):
- | | |
|--------------------------------|-------------------------------------|
| Professional fund raiser | <input checked="" type="checkbox"/> |
| Fund raising counsel | <input type="checkbox"/> |
| Commercial co-venturer | <input type="checkbox"/> |

2. Name of FRP:

SUSAN OETGEN

Number and street (or P.O. box if mail is not delivered to street address):

195 GARFIELD PL. #3G

City or town, state or country and ZIP + 4:

BROOKLYN, NY 11215

3. FRP telephone number:

4. Services provided by FRP (provide description):

TO PROVIDE FUNDRAISING SERVICES.

5. Compensation arrangement with FRP (provide description):

THE FUNDRAISER IS PAID BY THE FUND FOR THE CITY OF NEW YORK FOR SERVICES RENDERED FROM 10/01/12 THROUGH 09/30/13.

6. Dates of contract 10/01/2012 through 09/30/2013
(mm/dd/yyyy) (mm/dd/yyyy)

7. Amount paid to FRP \$ 1,200.

8. If services were provided by a CCV, did the CCV provide the charitable organization with the interim report(s) required by §§ 173-a. 3 of the Executive Law?

FUND FOR THE CITY OF NEW YORK, INC.

Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

If you checked the box in question 4.a. on page 1, complete the following schedule for each PFR, FRC or CCV that the organization engaged for fund raising activity in NY State:

1. Type of fund raising professional (FRP):
- Professional fund raiser 23
- Fund raising counsel
- Commercial co-venturer

2. Name of FRP:

MIRYAH MORRIS

Number and street (or P.O. box if mail is not delivered to street address):

215 THOMPSON ST. APT 20

City or town, state or country and ZIP + 4:

NEW YORK, NY 10012

3. FRP telephone number:

4. Services provided by FRP (provide description):

TO PROVIDE FUNDRAISING SERVICES.

5. Compensation arrangement with FRP (provide description):

THE FUNDRAISER IS PAID BY THE FUND FOR THE CITY OF NEW YORK FOR SERVICES RENDERED FROM 10/01/12 THROUGH 09/30/13.

6. Dates of contract 10/01/2012 through 09/30/2013

(mm/dd/yyyy) (mm/dd/yyyy)

7. Amount paid to FRP \$ 1,000.

8. If services were provided by a CCV, did the CCV provide the charitable organization with the interim report(s) required by §§ 173-a. 3 of the Executive Law?

FUND FOR THE CITY OF NEW YORK, INC.

Schedule 4a: Professional Fund Raisers (PFR), Fund Raising Counsels (FRC), Commercial Co-Venturers (CCV)

If you checked the box in question 4.a. on page 1, complete the following schedule for each PFR, FRC or CCV that the organization engaged for fund raising activity in NY State:

- 1. Type of fund raising professional (FRP):
 - Professional fund raiser
 - Fund raising counsel
 - Commercial co-venturer

2. Name of FRP:

PATRICIA KING

Number and street (or P.O. box if mail is not delivered to street address):

624 RAMAPO ROAD

City or town, state or country and ZIP + 4:

TEANECK, NJ 07666

3. FRP telephone number:

4. Services provided by FRP (provide description):

TO PROVIDE FUNDRAISING SERVICES.

5. Compensation arrangement with FRP (provide description):

THE FUNDRAISER IS PAID BY THE FUND FOR THE CITY OF NEW YORK FOR SERVICES RENDERED FROM 10/01/12 THROUGH 09/30/13.

6. Dates of contract 10/01/2012 through 09/30/2013

(mm/dd/yyyy) (mm/dd/yyyy)

7. Amount paid to FRP \$ 3,185.

8. If services were provided by a CCV, did the CCV provide the charitable organization with the interim report(s) required by §§ 173-a. 3 of the Executive Law?

FUND FOR THE CITY OF NEW YORK, INC.

5. Fee Instructions

The filing fee depends on the organization's Registration Type. For details on Registration Type and filing fees, see the Instructions for Form CHAR500.

Organization's Registration Type Fee Instructions

- **Article 7-A** Calculate the Article 7-A filing fee using the table in part a below. The EPTL filing fee is \$0.
- **EPTL** Calculate the EPTL filing fee using the table in part b below. The Article 7-A filing fee is \$0.
- **Dual** Calculate both the Article 7-A and EPTL filing fees using the tables in parts a and b below. Add the Article 7-A and EPTL filing fees together to calculate the total fee. Submit a single check or money order for the total fee.

a) Article 7-A filing fee

Total Support & Revenue	Article 7-A Fee
more than \$250,000	\$25
up to \$250,000 *	\$10

* Any organization that contracted with or used the services of a professional fund raiser (PFR) or fund raising counsel (FRC) during the reporting period must pay an Article 7-A filing fee of \$25, regardless of total support and revenue.

b) EPTL filing fee

Net Worth at End of Year	EPTL Fee
Less than \$50,000	\$25
\$50,000 or more, but less than \$250,000	\$50
\$250,000 or more, but less than \$1,000,000	\$100
\$1,000,000 or more, but less than \$10,000,000	\$250
\$10,000,000 or more, but less than \$50,000,000	\$750
\$50,000,000 or more	\$1500

6. Attachments - Document Attachment Check-List

Check the boxes for the documents you are attaching.

For All Filers

Filing Fee

Single check or money order payable to "NYS Department of Law"

Copies of Internal Revenue Service Forms

<input checked="" type="checkbox"/> IRS Form 990 <input checked="" type="checkbox"/> All required schedules (including Schedule B) <input type="checkbox"/> IRS Form 990-T	<input type="checkbox"/> IRS Form 990-EZ <input type="checkbox"/> All required schedules (including Schedule B) <input type="checkbox"/> IRS Form 990-T	<input type="checkbox"/> IRS Form 990-PF <input type="checkbox"/> All required schedules (including Schedule B) <input type="checkbox"/> IRS Form 990-T
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Additional Article 7-A Document Attachment Requirement

Independent Accountant's Report

Audit Report (total support & revenue more than \$250,000)

Review Report (total support & revenue \$100,001 to \$250,000)

No Accountant's Report Required (total support & revenue not more than \$100,000)

Form **990**

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2012

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

The organization may have to use a copy of this return to satisfy state reporting requirements.

A For the 2012 calendar year, or tax year beginning **OCT 1, 2012** and ending **SEP 30, 2013**

B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization FUND FOR THE CITY OF NEW YORK, INC. Doing Business As Number and street (or P.O. box if mail is not delivered to street address) Room/suite 121 AVENUE OF THE AMERICAS, 6TH FL City, town, or post office, state, and ZIP code NEW YORK, NY 10013-1590 F Name and address of principal officer: MARY MCCORMICK SAME AS C ABOVE	D Employer identification number 13-2612524 E Telephone number 212-925-6675 G Gross receipts \$ 59,879,725. H(a) Is this a group return for affiliates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all affiliates included? <input type="checkbox"/> Yes <input type="checkbox"/> No If "No," attach a list. (see instructions) H(c) Group exemption number ▶
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		
J Website: ▶ WWW.FCNY.ORG		
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶		L Year of formation: 1968 M State of legal domicile: NY

Part I Summary			
Activities & Governance	1 Briefly describe the organization's mission or most significant activities: THE FUND FOR THE CITY OF NEW YORK WAS CREATED BY THE FORD FOUNDATION IN 1968 WITH THE MANDATE TO		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	10
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	10
	5 Total number of individuals employed in calendar year 2012 (Part V, line 2a)	5	581
	6 Total number of volunteers (estimate if necessary)	6	0
	7 a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0.
b Net unrelated business taxable income from Form 990-T, line 34	7b	0.	
Revenue	8 Contributions and grants (Part VIII, line 1h)	18,394,717.	56,528,860.
	9 Program service revenue (Part VIII, line 2g)	31,857,685.	2,984,359.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	376,072.	366,506.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0.	0.
	12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	50,628,474.	59,879,725.
Expenses	13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	1,720,047.	8,655,861.
	14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	25,233,911.	28,052,902.
	16a Professional fundraising fees (Part IX, column (A), line 11e)	100,563.	111,931.
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 446,169.		
	17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	15,687,971.	21,856,695.
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	42,742,492.	58,677,389.	
19 Revenue less expenses. Subtract line 18 from line 12	7,885,982.	1,202,336.	
Net Assets or Fund Balances	20 Total assets (Part X, line 16)	71,729,914.	86,222,421.
	21 Total liabilities (Part X, line 26)	28,156,974.	41,372,455.
	22 Net assets or fund balances. Subtract line 21 from line 20	43,572,940.	44,849,966.

Part II Signature Block																
Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.																
Sign Here	Signature of officer MARY MCCORMICK, PRESIDENT Type or print name and title Date 5/27/14															
Paid Preparer Use Only	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>Print/Type preparer's name THOMAS LANNING</td> <td>Preparer's signature</td> <td>Date</td> <td>Check if self-employed <input type="checkbox"/></td> <td>PTIN P00851654</td> </tr> <tr> <td>Firm's name ▶ COHNREZNICK LLP</td> <td colspan="2">Firm's EIN ▶ 22-1478099</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">Firm's address ▶ 1212 AVENUE OF THE AMERICAS NEW YORK, NY 10036</td> <td colspan="3">Phone no. 212-297-0400</td> </tr> </table>	Print/Type preparer's name THOMAS LANNING	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00851654	Firm's name ▶ COHNREZNICK LLP	Firm's EIN ▶ 22-1478099				Firm's address ▶ 1212 AVENUE OF THE AMERICAS NEW YORK, NY 10036		Phone no. 212-297-0400		
Print/Type preparer's name THOMAS LANNING	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00851654												
Firm's name ▶ COHNREZNICK LLP	Firm's EIN ▶ 22-1478099															
Firm's address ▶ 1212 AVENUE OF THE AMERICAS NEW YORK, NY 10036		Phone no. 212-297-0400														

May the IRS discuss this return with the preparer shown above? (see instructions) Yes No

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response to any question in this Part III [X]

1 Briefly describe the organization's mission: THE FUND FOR THE CITY OF NEW YORK WAS CREATED BY THE FORD FOUNDATION TO BE RESPONSIVE TO OPPORTUNITIES TO IMPROVE THE QUALITY OF LIFE FOR ALL NEW YORKERS. IT WORKS IN PARTNERSHIP WITH GOVERNMENT, NONPROFITS, FOUNDATIONS AND UNIVERSITIES TO DEVELOP AND IMPLEMENT INNOVATIONS IN

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? [] Yes [X] No If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? [] Yes [X] No If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 24,833,551. including grants of \$ 1,465,886.) (Revenue \$ 2,984,359.) INCUBATOR/PARTNER PROGRAM : THE FUND CREATED THE PARTNER PROGRAM TO ENSURE THAT NONPROFIT LEADERS AND THEIR ADVISORY BOARDS CAN FOCUS THEIR TIME AND RESOURCES ON PROGRAM AND FUNDRAISING. THE FUND HAS LEGAL, FINANCIAL AND FIDUCIARY RESPONSIBILITY FOR ITS PARTNER PROJECTS AND HANDLES ALL FINANCIAL, HUMAN RESOURCE, ADMINISTRATIVE MANAGEMENT ISSUES. IT CURRENTLY HAS OVER 70 PROJECTS OF VARYING SIZE THAT COVER A BROAD ARRAY OF ISSUES IN THE AREAS OF EARLY CHILDREN, THE ENVIRONMENT, EDUCATION, AND SOCIAL JUSTICE, AMONG OTHERS.

4b (Code:) (Expenses \$ 22,219,907. including grants of \$) (Revenue \$) CENTER FOR COURT INNOVATION PROGRAM: CENTER FOR COURT INNOVATION PROGRAM HELPS THE JUSTICE SYSTEM AID VICTIMS, REDUCE CRIME, STRENGTHEN NEIGHBORHOODS AND IMPROVE PUBLIC TRUST IN JUSTICE. THE CENTER COMBINES ACTION AND REFLECTION TO SPARK INNOVATION LOCALLY, NATIONALLY AND INTERNATIONALLY.

4c (Code:) (Expenses \$ 8,736,005. including grants of \$ 7,189,975.) (Revenue \$) CORE PROGRAMS: THE FUND HAS SEVERAL PROGRAMS TO IMPROVE THE FUNCTIONING OF GOVERNMENT AND NONPROFITS SEPARATELY AND TOGETHER. AMONG ITS BEST KNOWN IS THE CASH FLOW LOAN PROGRAM WHICH, IN 2013, LENT OVER \$90 MILLION TO MORE THAN 300 ORGANIZATIONS. THE FUND ALSO HELPED TO CREATE THE NEW YORK CITY RECOVERY LOAN AND GRANT PROGRAM IN THE AFTERMATH OF HURRICANE SANDY WHICH MADE LOANS OF ALMOST \$15 MILLION AND GRANTS OF \$8 MILLION. ITS OLDEST PROGRAM IS THE SLOAN PUBLIC SERVICE AWARDS PROGRAM, WHICH HONORS SIX UNSUNG HEROES OF CITY GOVERNMENT EACH YEAR; ONE OF ITS NEWEST PROGRAM IS THE SLOAN AWARDS FOR EXCELLENCE IN TEACHING SCIENCE AND MATHEMATICS IN NEW YORK CITY HIGH SCHOOLS, WHICH PUT THE SPOT ON SEVEN EXEMPLARY STEM TEACHERS.

4d Other program services (Describe in Schedule O.) (Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses 55,789,463.

Part IV Checklist of Required Schedules

Table with 3 columns: Question, Yes, No. Rows 1-20b detailing various organizational requirements and their completion status.

Part IV Checklist of Required Schedules (continued)

		Yes	No
21	Did the organization report more than \$5,000 of grants and other assistance to any government or organization in the United States on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	
22	Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25</i>		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26	Was a loan to or by a current or former officer, director, trustee, key employee, highest compensated employee, or disqualified person outstanding as of the end of the organization's tax year? <i>If "Yes," complete Schedule L, Part II</i>		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	X	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response to any question in this Part V

Form 990 (2012) table with columns for question number, description, and Yes/No responses. Includes sections for backup withholding, employee reporting, foreign accounts, prohibited transactions, and contributions.

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response to any question in this Part VI

X

Section A. Governing Body and Management

Table with columns for question number, description, and Yes/No responses. Includes questions 1a through 9 regarding governing body members, relationships, and documentation.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

Table with columns for question number, description, and Yes/No responses. Includes questions 10a through 16b regarding local chapters, policies, conflict of interest, whistleblower, and compensation.

Section C. Disclosure

- List of disclosure questions 17 through 20. Question 17 lists states CT, NJ, NY, FL, CA, MA. Question 18 asks about public inspection availability. Question 19 asks about public availability of governing documents. Question 20 asks for the name and address of the person who possesses the books and records.

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) ABIGAIL DISNEY BOARD MEMBER	1.00 1.00	X						0.	0.	0.
(2) ALAN J. FRIEDMAN BOARD MEMBER	1.00 1.00	X						0.	0.	0.
(3) DANA BUCHMAN SECRETARY	1.00 1.00	X		X				0.	0.	0.
(4) DAVID STEINBERGER BOARD MEMBER	1.00 1.00	X						0.	0.	0.
(5) ESTER FUCHS VICE CHAIR	1.00 1.00	X		X				0.	0.	0.
(6) GEORGIA BOOTH BOARD MEMBER	1.00 1.00	X						0.	0.	0.
(7) LISETTE NIEVES BOARD MEMBER	1.00 1.00	X						0.	0.	0.
(8) MAGGIE BOEPPLE VICE CHAIR	1.00 1.00	X		X				0.	0.	0.
(9) MICHAEL ARAD BOARD MEMBER	1.00 1.00	X						0.	0.	0.
(10) ROBERT ABRAMS BOARD MEMBER	1.00 1.00	X						0.	0.	0.
(11) ROBERT G.M. KEATING TREASURER	1.00 1.00	X		X				0.	0.	0.
(12) VAUGHN WILLIAMS CHAIR	1.00 1.00	X		X				0.	0.	0.
(13) JILL BORRERO VICE-PRESIDENT	35.00			X				86,057.	0.	34,044.
(14) MARY MCCORMICK PRESIDENT	30.00 5.00			X				284,078.	0.	36,384.
(15) WALID GABALLAH CFO	30.00 5.00			X				161,296.	0.	30,662.
(16) GREGORY BERMAN DIRECTOR, CCI	35.00				X			219,100.	0.	45,767.
(17) ALFRED SIEGEL CCI GENERAL & OPERATIONS MGR	35.00					X		174,041.	0.	40,497.

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(18) EARLE WALKER DIRECTOR, REGIONAL ALLIANCE	35.00					X		202,751.	0.	39,539.
(19) JULIUS LANG CCI DIR OF NAT'L TECH ASST	35.00					X		145,117.	0.	36,044.
(20) MICHAEL CLARK DIRECTOR, NPCC	35.00					X		188,314.	0.	43,596.
(21) SANDRA ESCAMILA DIRECTOR, YDI	35.00					X		150,420.	0.	33,148.
(22) BARBARA COHN-BERMAN VICE PRESIDENT	5.00 30.00						X	168,074.	0.	16,901.
1b Sub-total								1,779,248.	0.	356,582.
c Total from continuation sheets to Part VII, Section A								0.	0.	0.
d Total (add lines 1b and 1c)								1,779,248.	0.	356,582.

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **57**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual	X	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	X	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
HAPPOLD CONSULTING 100 BROADWAY, NEW YORK, NY 10005	SUSTAINABILITY PLANNING	1,131,500.
CENTER FOR EMPLOYMENT OPPORTUNITIES 32 BROADWAY, NEW YORK, NY 10004	COMMUNITY SERVICE PROJECTS	237,837.
COHNREZNICK LLP, 1212 AVE OF THE AMERICAS, NEW YORK, NY 10036	AUDIT SERVICES	151,500.
AMY BARNETT 80 ELYSIAN AVE, SOUTH NYACK, NY 10960	LEGAL SERVICES	105,957.
MICHAEL HICKEY 202 ALBERMARLE RD #2, BROOKLYN, NY 11218	PROGRAM SERVICES	101,222.

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **5**

Part VIII Statement of Revenue

Check if Schedule O contains a response to any question in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512, 513, or 514	
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a					
	b Membership dues	1b					
	c Fundraising events	1c					
	d Related organizations	1d	174,994.				
	e Government grants (contributions)	1e	23,687,469.				
	f All other contributions, gifts, grants, and similar amounts not included above	1f	32,666,397.				
	g Noncash contributions included in lines 1a-1f: \$						
	h Total. Add lines 1a-1f			56,528,860.			
Program Service Revenue	2 a PROGRAM INCOME	Business Code					
		900099	2,984,359.	2,984,359.			
	b						
	c						
	d						
	e						
	f All other program service revenue						
g Total. Add lines 2a-2f			2,984,359.				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		366,506.			366,506.	
	4 Income from investment of tax-exempt bond proceeds						
	5 Royalties						
	6 a Gross rents	(i) Real	(ii) Personal				
		b Less: rental expenses					
		c Rental income or (loss)					
		d Net rental income or (loss)					
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other				
		b Less: cost or other basis and sales expenses					
		c Gain or (loss)					
		d Net gain or (loss)					
	8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c). See Part IV, line 18	a					
		b Less: direct expenses	b				
		c Net income or (loss) from fundraising events					
	9 a Gross income from gaming activities. See Part IV, line 19	a					
b Less: direct expenses		b					
c Net income or (loss) from gaming activities							
10 a Gross sales of inventory, less returns and allowances	a						
	b Less: cost of goods sold	b					
	c Net income or (loss) from sales of inventory						
Miscellaneous Revenue		Business Code					
11 a							
	b						
	c						
	d All other revenue						
e Total. Add lines 11a-11d							
12 Total revenue. See instructions.			59,879,725.	2,984,359.	0.	366,506.	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response to any question in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	8,655,861.	8,655,861.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	937,549.	272,063.	665,486.	
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	20,501,295.	19,903,206.	451,495.	146,594.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	1,262,579.	1,233,683.	28,896.	
9 Other employee benefits	3,630,424.	3,278,319.	352,105.	
10 Payroll taxes	1,721,055.	1,681,594.	39,461.	
11 Fees for services (non-employees):				
a Management	8,364,715.	8,032,470.	310,744.	21,501.
b Legal	31,306.	31,306.		
c Accounting	22,179.	22,179.		
d Lobbying	7,230.	7,230.		
e Professional fundraising services. See Part IV, line 17	111,931.			111,931.
f Investment management fees				
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)				
12 Advertising and promotion				
13 Office expenses	2,835,859.	2,493,432.	176,899.	165,528.
14 Information technology				
15 Royalties				
16 Occupancy	2,120,327.	1,938,203.	182,124.	
17 Travel	863,466.	860,713.	2,138.	615.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest	331,126.	331,126.		
21 Payments to affiliates				
22 Depreciation, depletion, and amortization	117,147.		117,147.	
23 Insurance	281,141.	103,803.	177,338.	
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a PROGRAM DEPARTURE	5,474,201.	5,474,201.		
b BAD DEBT EXPENSE	658,153.	628,129.	30,024.	
c EQUIPMENT AND REPAIRS	651,644.	563,572.	88,072.	
d PROGRAM AWARDS	105,000.	105,000.		
e All other expenses	-6,799.	173,373.	-180,172.	
25 Total functional expenses. Add lines 1 through 24e	58,677,389.	55,789,463.	2,441,757.	446,169.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

Check if Schedule O contains a response to any question in this Part X

		(A) Beginning of year		(B) End of year
Assets	1	Cash - non-interest-bearing	1	2,240,227.
	2	Savings and temporary cash investments	19,636,989.	2 18,342,783.
	3	Pledges and grants receivable, net	13,945,281.	3 13,990,166.
	4	Accounts receivable, net		4 73,876.
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6
	7	Notes and loans receivable, net	18,747,038.	7 25,542,422.
	8	Inventories for sale or use		8
	9	Prepaid expenses and deferred charges	163,924.	9 62,913.
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 6,945,901.	
	b	Less: accumulated depreciation	10b 5,443,246.	10c 1,502,655.
	11	Investments - publicly traded securities		11
	12	Investments - other securities. See Part IV, line 11		12
	13	Investments - program-related. See Part IV, line 11		13
	14	Intangible assets		14
	15	Other assets. See Part IV, line 11	17,634,292.	15 24,467,379.
16	Total assets. Add lines 1 through 15 (must equal line 34)	71,729,914.	16 86,222,421.	
Liabilities	17	Accounts payable and accrued expenses	3,045,052.	17 2,997,528.
	18	Grants payable	149,700.	18 233,226.
	19	Deferred revenue		19
	20	Tax-exempt bond liabilities		20
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		21
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22
	23	Secured mortgages and notes payable to unrelated third parties	24,697,892.	23 38,044,826.
	24	Unsecured notes and loans payable to unrelated third parties		24
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	264,330.	25 96,875.
	26	Total liabilities. Add lines 17 through 25	28,156,974.	26 41,372,455.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27	Unrestricted net assets	11,752,628.	27 13,387,719.
	28	Temporarily restricted net assets	21,148,749.	28 20,775,154.
	29	Permanently restricted net assets	10,671,563.	29 10,687,093.
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds		30
	31	Paid-in or capital surplus, or land, building, or equipment fund		31
	32	Retained earnings, endowment, accumulated income, or other funds		32
	33	Total net assets or fund balances	43,572,940.	33 44,849,966.
	34	Total liabilities and net assets/fund balances	71,729,914.	34 86,222,421.

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response to any question in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	59,879,725.
2	Total expenses (must equal Part IX, column (A), line 25)	2	58,677,389.
3	Revenue less expenses. Subtract line 2 from line 1	3	1,202,336.
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	43,572,940.
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	75,500.
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-810.
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	44,849,966.

Part XII Financial Statements and Reporting

Check if Schedule O contains a response to any question in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	X	
2c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	X	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	X	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	X	

Form 990 (2012)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

OMB No. 1545-0047

2012

**Open to Public
Inspection**

Name of the organization
FUND FOR THE CITY OF NEW YORK, INC.

Employer identification number
13-2612524

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: _____
- 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a Type I
 - b Type II
 - c Type III - Functionally integrated
 - d Type III - Non-functionally integrated
- e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 - (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

	Yes	No
11g(i)		
 - (ii) A family member of a person described in (i) above?

	Yes	No
11g(ii)		
 - (iii) A 35% controlled entity of a person described in (i) or (ii) above?

	Yes	No
11g(iii)		
- h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1-9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2012

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	30442331.	42439395.	36413455.	46462854.	56528860.	212286895
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	30442331.	42439395.	36413455.	46462854.	56528860.	212286895
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						9042448.
6 Public support. Subtract line 5 from line 4.						203244447

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
7 Amounts from line 4	30442331.	42439395.	36413455.	46462854.	56528860.	212286895
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	227,346.	287,130.	333,642.	376,072.	366,506.	1590696.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
11 Total support. Add lines 7 through 10						213877591
12 Gross receipts from related activities, etc. (see instructions)					12 14,996,645.	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						<input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2012 (line 6, column (f) divided by line 11, column (f))	14	95.03	%
15 Public support percentage from 2011 Schedule A, Part II, line 14	15	91.40	%
16a 33 1/3% support test - 2012. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input checked="" type="checkbox"/>		
b 33 1/3% support test - 2011. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	<input type="checkbox"/>		
17a 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>		
b 10% -facts-and-circumstances test - 2011. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization	<input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	<input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2008	(b) 2009	(c) 2010	(d) 2011	(e) 2012	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						

14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here

Section C. Computation of Public Support Percentage

15 Public support percentage for 2012 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2011 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2012 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2011 Schedule A, Part III, line 17	18	%

19a 33 1/3% support tests - 2012. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

b 33 1/3% support tests - 2011. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions

SCHEDULE C
(Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

OMB No. 1545-0047

For Organizations Exempt From Income Tax Under section 501(c) and section 527

2012

Department of the Treasury
Internal Revenue Service

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**

**Open to Public
Inspection**

▶ **See separate instructions.**

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax), or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

Name of organization FUND FOR THE CITY OF NEW YORK, INC.	Employer identification number 13-2612524
--	---

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV.
- 2 Political expenditures ▶ \$ _____
- 3 Volunteer hours _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
 - 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
 - 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? Yes No
 - 4a Was a correction made? Yes No
- b If "Yes," describe in Part IV.

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file Form 1120-POL for this year? Yes No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization's funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds. If none, enter -0-.	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization. If none, enter -0-.

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ. Schedule C (Form 990 or 990-EZ) 2012

LHA

232041
01-07-13

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A Check if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).
- B Check if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)	(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b Total lobbying expenditures to influence a legislative body (direct lobbying)														
c Total lobbying expenditures (add lines 1a and 1b)														
d Other exempt purpose expenditures														
e Total exempt purpose expenditures (add lines 1c and 1d)														
f Lobbying nontaxable amount. Enter the amount from the following table in both columns.														
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:35%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:65%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e.</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000.</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000.</td> </tr> </tbody> </table>	If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e.	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.	Over \$17,000,000	\$1,000,000.		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:													
Not over \$500,000	20% of the amount on line 1e.													
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.													
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.													
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000.													
Over \$17,000,000	\$1,000,000.													
g Grassroots nontaxable amount (enter 25% of line 1f)														
h Subtract line 1g from line 1a. If zero or less, enter -0-														
i Subtract line 1f from line 1c. If zero or less, enter -0-														
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	<input type="checkbox"/> Yes	<input type="checkbox"/> No												

4-Year Averaging Period Under Section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Schedule C (Form 990 or 990-EZ) 2012

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?		X	
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	X		
c Media advertisements?		X	
d Mailings to members, legislators, or the public?		X	
e Publications, or published or broadcast statements?		X	
f Grants to other organizations for lobbying purposes?		X	
g Direct contact with legislators, their staffs, government officials, or a legislative body?	X		7,230.
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		X	
i Other activities?		X	
j Total. Add lines 1c through 1i			7,230.
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		X	
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Complete this part to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.
▶ Attach to Form 990. ▶ See separate instructions.

OMB No. 1545-0047

2012

Open to Public Inspection

Name of the organization

FUND FOR THE CITY OF NEW YORK, INC.

Employer identification number

13-2612524

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply).

Preservation of land for public use (e.g., recreation or education) Preservation of an historically important land area

Protection of natural habitat Preservation of a certified historic structure

Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

Yes No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

(ii) Assets included in Form 990, Part X

▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1

▶ \$ _____

b Assets included in Form 990, Part X

▶ \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- a Public exhibition
- b Scholarly research
- c Preservation for future generations
- d Loan or exchange programs
- e Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a Board designated or quasi-endowment _____ %
- b Permanent endowment _____ %
- c Temporarily restricted endowment _____ %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i) unrelated organizations
- (ii) related organizations

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings		4,642,488.	3,152,123.	1,490,365.
c Leasehold improvements		426,946.	415,190.	11,756.
d Equipment		1,876,467.	1,875,933.	534.
e Other				

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).) 1,502,655.

Part VII Investments - Other Securities. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
(I)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments - Program Related. See Form 990, Part X, line 13.

(a) Description of investment type	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
(10)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1) SECURITY DEPOSITS	226,419.
(2) RESTRICTED CASH-CASH FLOW LOAN PROGRAM	23,596,468.
(3) DUE FROM RELATED ORGANIZATIONS	644,492.
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	24,467,379.

Part X Other Liabilities. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	
(2) OTHER LIABILITIES	96,875.
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	96,875.

2. FIN 48 (ASC 740) Footnote. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

1	Total revenue, gains, and other support per audited financial statements		1	66,124,336.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII.)	2d	6,394,495.	
e	Add lines 2a through 2d	2e	6,394,495.	
3	Subtract line 2e from line 1	3	59,729,841.	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b	149,884.	
c	Add lines 4a and 4b	4c	149,884.	
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)	5	59,879,725.	

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

1	Total expenses and losses per audited financial statements		1	60,427,471.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII.)	2d	1,899,966.	
e	Add lines 2a through 2d	2e	1,899,966.	
3	Subtract line 2e from line 1	3	58,527,505.	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII.)	4b	149,884.	
c	Add lines 4a and 4b	4c	149,884.	
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	58,677,389.	

Part XIII Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2: THE FUND HAS NO UNRECOGNIZED TAX BENEFITS AT SEPTEMBER

30, 2013 AND 2012. THE FUND'S U.S. FEDERAL, STATE AND CITY INCOME TAX

RETURNS PRIOR TO 2010 ARE CLOSED. THE BOARD OF DIRECTORS CONTINUALLY

EVALUATES EXPIRING STATUTES OF LIMITATIONS, AUDITS, PROPOSED SETTLEMENTS,

CHANGES IN TAX LAW AND NEW AUTHORITATIVE RULINGS.

IF APPLICABLE, THE FUND WOULD RECOGNIZE INTEREST AND PENALTIES ASSOCIATED

WITH TAX MATTERS AS PART OF GENERAL AND ADMINISTRATIVE EXPENSES IN THE

Part XIII Supplemental Information (continued)

CONSOLIDATED STATEMENTS OF ACTIVITIES AND INCLUDE ACCRUED INTEREST AND PENALTIES IN ACCRUED EXPENSES IN THE CONSOLIDATED STATEMENTS OF FINANCIAL POSITION. THE FUND DID NOT RECOGNIZE ANY INTEREST OR PENALTIES ASSOCIATED WITH TAX MATTERS FOR THE YEAR ENDED SEPTEMBER 30, 2013.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

Table with 2 columns: Description and Amount. Rows include NATIONAL REVENUE (6,569,489), ELIMINATION ENTRY (-25,000), GRANT FROM NCCI (-149,994), and TOTAL TO SCHEDULE D, PART XI, LINE 2D (6,394,495).

PART XI, LINE 4B - OTHER ADJUSTMENTS:

Table with 2 columns: Description and Amount. Row includes AFFILIATED COMPANY SERVICE FEES (149,884).

PART XII, LINE 2D - OTHER ADJUSTMENTS:

Table with 2 columns: Description and Amount. Rows include NCCI EXPENSES (2,074,960), GRANT FROM NCCI (-149,994), ELIMINATION ENTRY (-25,000), and TOTAL TO SCHEDULE D, PART XII, LINE 2D (1,899,966).

PART XII, LINE 4B - OTHER ADJUSTMENTS:

Table with 2 columns: Description and Amount. Row includes AFFILIATED COMPANY SERVICE FEES (149,884).

SCHEDULE G
(Form 990 or 990-EZ)

**Supplemental Information Regarding
Fundraising or Gaming Activities**

OMB No. 1545-0047

2012

Open To Public
Inspection

Department of the Treasury
Internal Revenue Service

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19,
or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

Name of the organization **FUND FOR THE CITY OF NEW YORK, INC.** Employer identification number **13-2612524**

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1 Indicate whether the organization raised funds through any of the following activities. Check all that apply.

- a Mail solicitations
- b Internet and email solicitations
- c Phone solicitations
- d In-person solicitations
- e Solicitation of non-government grants
- f Solicitation of government grants
- g Special fundraising events

2 a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes No

b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col. (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
RUBY SLIPPERS FUNDRAISING LLC - 211 31ST ST., BROOKLYN, NY	FINANCIAL CLINIC/SWAN		X	0.	80,816.	-80,816.
A. C. MARMO INC. - 273 FILBERT ST. # 1, SAN	AIR HARLEM		X	0.	6,975.	-6,975.
CHRISTINE MICHELE YU - 309 3RD STREET # 31, BROOKLYN, NY	WPTI		X	0.	14,780.	-14,780.
Total					102,571.	-102,571.

3 List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

CT, NY, NJ, FL, CA, MA

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		(event type)	(event type)	(total number)	(add col. (a) through col. (c))
Revenue	1	Gross receipts			
	2	Less: Contributions			
	3	Gross income (line 1 minus line 2)			
Direct Expenses	4	Cash prizes			
	5	Noncash prizes			
	6	Rent/facility costs			
	7	Food and beverages			
	8	Entertainment			
	9	Other direct expenses			
	10	Direct expense summary. Add lines 4 through 9 in column (d)			()
	11	Net income summary. Combine line 3, column (d), and line 10			()

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col. (a) through col. (c))
		1	Gross revenue		
Direct Expenses	2	Cash prizes			
	3	Noncash prizes			
	4	Rent/facility costs			
	5	Other direct expenses			
	6	Volunteer labor	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No	<input type="checkbox"/> Yes _____ % <input type="checkbox"/> No
7	Direct expense summary. Add lines 2 through 5 in column (d)				()
8	Net gaming income summary. Combine line 1, column d, and line 7				()

9 Enter the state(s) in which the organization operates gaming activities: _____
 a Is the organization licensed to operate gaming activities in each of these states? Yes No
 b If "No," explain: _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? Yes No
 b If "Yes," explain: _____

- 11 Does the organization operate gaming activities with nonmembers? Yes No
- 12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? Yes No
- 13 Indicate the percentage of gaming activity operated in:
- | | | |
|-------------------------------|-----|---|
| a The organization's facility | 13a | % |
| b An outside facility | 13b | % |
- 14 Enter the name and address of the person who prepares the organization's gaming/special events books and records:

Name ▶ _____

Address ▶ _____

- 15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? Yes No
- b If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ _____ and the amount of gaming revenue retained by the third party ▶ \$ _____
- c If "Yes," enter name and address of the third party:

Name ▶ _____

Address ▶ _____

16 Gaming manager information:

Name ▶ _____

Gaming manager compensation ▶ \$ _____

Description of services provided ▶ _____

Director/officer Employee Independent contractor

17 Mandatory distributions:

- a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? Yes No
- b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ _____

Part IV Supplemental Information. Complete this part to provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

SCHEDULE G, PART I, LINE 2B, LIST OF TEN HIGHEST PAID FUNDRAISERS:

(I) NAME OF FUNDRAISER: RUBY SLIPPERS FUNDRAISING LLC

(I) ADDRESS OF FUNDRAISER: 211 31ST ST., BROOKLYN, NY 11232

(I) NAME OF FUNDRAISER: A. C. MARMO INC.

(I) ADDRESS OF FUNDRAISER: 273 FILBERT ST. # 1, SAN FRANCISCO, CA 94133

(I) NAME OF FUNDRAISER: CHRISTINE MICHELE YU

Part IV Supplemental Information *(continued)*

(I) ADDRESS OF FUNDRAISER: 309 3RD STREET # 31, BROOKLYN, NY 11215

Multiple horizontal lines for supplemental information.

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

OMB No. 1545-0047

2012

Open to Public
Inspection

Name of the organization **FUND FOR THE CITY OF NEW YORK, INC.** Employer identification number **13-2612524**

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Yes No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALPHA MARINE INC. 54 COMMERCE ST BROOKLYN, NY 11231	13-2901253		8,000.	0.			DISASTER RELIEF
ARTEMAS QUIBBLE LLC 5 DELEVAN ST. #4U BROOKLYN, NY 11231	45-4524411		8,000.	0.			DISASTER RELIEF
BAKED NYC LLC 359 VAN BRUNT ST BROOKLYN, NY 11231	20-1368617		8,000.	0.			DISASTER RELIEF
BENCHMARK SIGNAATS 481 VAN BRUNT ST. #8A BROOKLYN, NY 11231	45-6252504		8,000.	0.			DISASTER RELIEF
BOMBA BILLARDS & BAR INC. 250 VAN BRUNT ST. BROOKLYN, NY 11231	27-3233558		8,000.	0.			DISASTER RELIEF
BROOKLYN MOTOR WERKS INC. 185 VAN DYKE ST. BROOKLYN, NY 11231	26-3365279		8,000.	0.			DISASTER RELIEF

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table **76.**

3 Enter total number of other organizations listed in the line 1 table **54.**

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2012)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CONSTRUCTIVE DESIGN, INC. 499 VAN BRUNT STREET BROOKLYN, NY 11231	13-3462856		8,000.	0.			DISASTER RELIEF
CSG COMMISSARY LLC 485 COURT STREET BROOKLYN, NY 11231	46-0528618		8,000.	0.			DISASTER RELIEF
DOWN UNDER PUBS, LLC DBA BROOKLYN ICE HOUSE - 318 VAN BRUNT ST. - BROOKLYN, NY 11231	06-1829170		8,000.	0.			DISASTER RELIEF
DRY DOCK WINE & SPIRITS 424 VAN BRUNT STREET BROOKLYN, NY 11231	27-0336071		8,000.	0.			DISASTER RELIEF
F.M.B. BAGELS INC. 383 VAN BRUNT ST. BROOKLYN, NY 11231	90-0844461		8,000.	0.			DISASTER RELIEF
FORT DEFIANCE 365 VAN BRUNT ST BROOKLYN, NY 11231	26-1457102		8,000.	0.			DISASTER RELIEF
GAGE + DESOTO LLC 70 VAN DYKE STREET BROOKLYN, NY 11231	20-8258756		8,000.	0.			DISASTER RELIEF
GRINDHAUS 275 VAN BRUNT STREET BROOKLYN, NY 11231	26-2290447		8,000.	0.			DISASTER RELIEF
H & K GROCERY 291 VAN BRUNT STREET BROOKLYN, NY 11231	02-0668878		8,000.	0.			DISASTER RELIEF

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HAPPY HOURS DAY CARE INC. 42 DELAVAN STREET BROOKLYN, NY 11231	20-2530480		8,000.	0.			DISASTER RELIEF
JABBAR MEAT CORP 368 VAN BRUNT STREET BROOKLYN, NY 11231	11-2517275		8,000.	0.			DISASTER RELIEF
JACK FROM BROOKLYN INC 177 DWIGHT STREET BROOKLYN, NY 11231	45-2462669		8,000.	0.			DISASTER RELIEF
LA LUZ, INC. 179 RICHARDS STREET BROOKLYN, NY 11231	26-4616430		8,000.	0.			DISASTER RELIEF
LAVADA INC. 499 VAN BRUNT ST BROOKLYN, NY 11231	26-0533291		8,000.	0.			DISASTER RELIEF
LIBERTY INDUSTRIAL GAS & WELDING SUPPLY, INC. - 600 SMITH STREET - BROOKLYN, NY 11231	11-2798512		8,000.	0.			DISASTER RELIEF
LMTD, LLC DBA HOME/MADE 293 VAN BRUNT STREET BROOKLYN, NY 11231	20-4463163		8,000.	0.			DISASTER RELIEF
METAL AND THREAD 398 VAN BRUNT STREET BROOKLYN, NY 11231	16-1734640		8,000.	0.			DISASTER RELIEF
MILE END PRODUCTION LLC 175 VAN DYKE ST. #323A BROOKLYN, NY 11231	45-2599916		8,000.	0.			DISASTER RELIEF

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MOVERS, NOT SHAKERS, INC. 101 DIKEMAN STREET BROOKLYN, NY 11231	05-0527123		8,000.	0.			DISASTER RELIEF
NY PRINTING & GRAPHICS 481 VAN BRUNST ST 8A BROOKLYN, NY 11231	20-2119041		8,000.	0.			DISASTER RELIEF
PERCH DESIGN INC. 201 RICHARDS ST. #201A BROOKLYN, NY 11231	26-2661385		8,000.	0.			DISASTER RELIEF
PIER GLASS 499 VAN BRUNT STREET BROOKLYN, NY 11231	11-3249520		43,000.	0.			DISASTER RELIEF
PIONEER SUPERMARKET 322 VAN BRUNT STREET BROOKLYN, NY 11231	11-2929134		8,000.	0.			DISASTER RELIEF
RED HOOK CRITERIUM LLC 70 VAN DYKE STREET BROOKLYN, NY 11231	45-4448155		8,000.	0.			DISASTER RELIEF
RED HOOK LOBSTER POUND LLC 284 VAN BRUNT ST BROOKLYN, NY 11231	27-0547063		8,000.	0.			DISASTER RELIEF
RED HOOK PIZZA CORP 326 VAN BRUNT STREET BROOKLYN, NY 11231	80-0636883		8,000.	0.			DISASTER RELIEF
RED HOOK WINERY 175 VAN DYKE ST. PIER 41 BROOKLYN, NY 11231	45-1763522		8,000.	0.			DISASTER RELIEF

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RESOLUTION AUDIO VIDEO LLC 276 13TH STREET SUITE 3B BROOKLYN, NY 11215	26-4594924		8,000.	0.			DISASTER RELIEF
ROCKY SULLIVANS OF RED HOOK, INC. 34 VAN DYKE STREET BROOKLYN, NY 11231	26-0328895		8,000.	0.			DISASTER RELIEF
SHE-WELD 106 FERRIS STREET BROOKLYN, NY 11231	20-5575042		8,000.	0.			DISASTER RELIEF
STEVE'S AUTHENTIC INC. 204 VAN DYKE STREET BROOKLYN, NY 11231	12-8877243		8,000.	0.			DISASTER RELIEF
STUDIO BOSCO SODI 499 VAN BRUNT STREET #6A BROOKLYN, NY 11231	45-0678280		8,000.	0.			DISASTER RELIEF
SUNNY'S BAR LTD. 253 CONOVER STREET BROOKLYN, NY 11231	11-3619897		8,000.	0.			DISASTER RELIEF
UHURU DESIGN LLC 160 VAN BRUNT STREET BROOKLYN, NY 11231	52-2413831		8,000.	0.			DISASTER RELIEF
CENTER FOR CHILDREN INITIATION 322 EIGHTH AVE NEW YORK, NY 10001	13-3039812	501(C)(3)	130,000.	0.			GENERAL OPERATING
CENTER FOR URBAN FUTURE (CITY FUTURES) - 120 WALL ST - NEW YORK, NY 10005	13-3185114	501(C)(3)	10,000.	0.			GENERAL OPERATING

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHILDREN'S DEFENSE FUND 15 MAIDEN LANE NEW YORK, NY 10038	52-0895622	501(C)(3)	37,500.	0.			GENERAL OPERATING
CITIZENS COMMITTEE FOR CHILDREN 105 EAST 22ND STREET NEW YORK, NY 10010	13-5618593	501(C)(3)	50,000.	0.			GENERAL OPERATING
CITY PARKS FOUNDATION 830 FIFTH AVE NEW YORK, NY 10065	13-3561657	501(C)(3)	403,618.	0.			GENERAL OPERATING
COMPOSERS NOW FESTIVAL 121 SIXTH AVE 6TH FL NEW YORK, NY 10013	13-2612524	501(C)(3)	10,000.	0.			GENERAL OPERATING
IMMIGRANT LEGAL RESOURCE CENTER 1663 MISSION ST, SUITE 602 SAN FRANCISCO, CA 94103	94-2939540	501(C)(3)	50,000.	0.			GENERAL OPERATING
MUSEUM OF THE CITY OF NEW YORK 1220 FIFTH AVE NEW YORK, NY 10029	13-1624098	501(C)(3)	22,468.	0.			GENERAL OPERATING
NEW YORK CITY COLLEGE OF TECHNOLOGY - 300 JAY ST - BROOKLYN, NY 11201	13-3893536	501(C)(3)	25,000.	0.			GENERAL OPERATING
NONPROFIT COORDINATING COMMITTEE 1350 BROADWAY SUITE 1801 NEW YORK, NY 10018	13-3216408	501(C)(3)	8,225.	0.			GENERAL OPERATING
NYC OFFICE OF EMERGENCY MANAGEMENT 165 CADMAN PLAZA E BROOKLYN, NY 11201	13-6400449	501(C)(3)	28,750.	0.			GENERAL OPERATING

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PUBLIC POLICY & EDUCATION FUND 94 CENTRAL AVE ALBANY, NY 12206	13-3364209	501(C)(3)	100,000.	0.			GENERAL OPERATING
RESEARCH FOUNDATION OF CUNY 535 EAST 80TH ST NEW YORK, NY 10021	13-1988190	501(C)(3)	50,000.	0.			GENERAL OPERATING
SILK ROAD PROJECT 175 N HARVARD ST BOSTON, MA 02134	04-3444069	501(C)(3)	50,000.	0.			GENERAL OPERATING
THE CITY UNIVERSITY OF NY 230 W 41ST ST NEW YORK, NY 10036	13-1988190	501(C)(3)	110,000.	0.			GENERAL OPERATING
3-LEGGED DOG, INC. 80 GREENWICH STREET NEW YORK, NY 10007	13-4002491		65,000.	0.			NYC RECOVERY
ACTS COMMUNITY DEVELOPMENT CORE 2114 MERMAID AVENUE BROOKLYN, NY 11224	13-0679652		40,000.	0.			NYC RECOVERY
AMETHYST WOMENS PROJECT INC 1907 MERMAID AVENUE BROOKLYN, NY 11224	11-3505513	501(C)(3)	25,000.	0.			NYC RECOVERY
ASTELLA DEVELOPMENT CORPORATION 1618 MERMAID AVENUE BROOKLYN, NY 11224	11-2458675	501(C)(3)	70,000.	0.			NYC RECOVERY
BAILEY HOUSE, INC. 1751 PARK AVE NEW YORK, NY 10035	13-3165181	501(C)(3)	333,000.	0.			NYC RECOVERY

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(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GODS BATTALION OF PRAYER MINISTRIES - 454 BEACH 67 STREET ARVERNE - NEW YORK, NY 11692	36-4333941	501(C)(3)	20,000.	0.			NYC RECOVERY
BERGEN BASIN COMMUNITY DEVELOPMENT CORPORATION INC - 2331 BERGEN AVENUE - BROOKLYN, NY 11234	11-3199040	501(C)(3)	31,000.	0.			NYC RECOVERY
BERGEN BEACH YOUTH ORGANIZATION INC - 2331 BERGEN AVENUE - BROOKLYN, NY 11234	11-2598350	501(C)(3)	25,000.	0.			NYC RECOVERY
BRIGHTON BEACH HOUSING DEVELOPMENT FUND COMPANY INC - 3161 BRIGHTON 6TH STREET - BROOKLYN, NY 11235	13-3042331	501(C)(3)	95,000.	0.			NYC RECOVERY
BROOKDALE VILLAGE HOUSING CORPORATION - 125-135 BEACH 19TH STREET - FAR ROCKAWAY, NY 11691	23-7375605	501(C)(3)	35,000.	0.			NYC RECOVERY
BROOKLYN BUREAU OF COMMUNITY SERVICE - 285 SCHEMERHORN ST. - BROOKLYN, NY 11217	11-1630780	501(C)(3)	21,000.	0.			NYC RECOVERY
BROOKLYN WATERFRONT ARTISTS COALITION INC - 76 DEGRAW STREET - BROOKLYN, NY 11231	11-2771371	501(C)(3)	20,000.	0.			NYC RECOVERY
CAMBA, INC 1720 CHURCH AVENUE BROOKLYN, NY 11226	11-2480339	501(C)(3)	45,000.	0.			NYC RECOVERY
CARROLL GARDENS ASSOCIATION INCORPORATED - 201 COLUMBIA STREET - BROOKLYN, NY 11231	11-2573432	501(C)(3)	235,000.	0.			NYC RECOVERY

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CATHOLIC CHARITIES NEIGHBORHOOD SERVICES INC - 191 JORALEMON STREET - NEW YORK, NY 11201	11-2047151	501(C)(3)	365,000.	0.			NYC RECOVERY
CEDAR LAKE, INC. 547 WEST 26TH STREET NEW YORK, NY 10003	46-0634935	501(C)(3)	75,000.	0.			NYC RECOVERY
CHURCH STREET SCHOOL FOR MUSIC 74 WARREN STREET NEW YORK, NY 10007	13-3693660	501(C)(3)	10,000.	0.			NYC RECOVERY
CITYMEALS-ON-WHEELS 355 LEXINGTON AVENUE NEW YORK, NY 10017	13-3634381	501(C)(3)	100,000.	0.			NYC RECOVERY
COMMUNITY ACCESS 2 WASHINGTON STREET NEW YORK, NY 10004	23-7399839	501(C)(3)	235,000.	0.			NYC RECOVERY
CONEY ISLAND SITE NINE HOUSES 3601 SURF AVE NEW YORK, NY 11224	11-2306651	501(C)(3)	150,000.	0.			NYC RECOVERY
COVENANT BALLET THEATRE OF BROOKLYN - 2718A E. 21 STREET - BROOKLYN, NY 11235	26-1136590	501(C)(3)	50,000.	0.			NYC RECOVERY
DANCE THEATRE ETCETERA INC 480 VAN BRUNT ST BROOKLYN, NY 11231	13-3015965	501(C)(3)	65,000.	0.			NYC RECOVERY
EDEN II SCHOOL FOR AUTISTIC CHILDREN, INC - 150 GRANITE AVENUE - STATEN ISLAND, NY 10303	13-2872916	501(C)(3)	25,000.	0.			NYC RECOVERY

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

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EPIC THEATRE ENSEMBLE 55 WEST 39TH ST. NEW YORK, NY 10018	52-2303451	501(C)(3)	20,000.	0.			NYC RECOVERY
EPISCOPAL SOCIAL SERVICES 305 SEVENTH AVE NEW YORK, NY 10001	13-3709095	501(C)(3)	80,000.	0.			NYC RECOVERY
FALCONWORKS ARTISTS GROUP P.O. BOX 310283 BROOKLYN, NY 11231	20-0732447	501(C)(3)	15,000.	0.			NYC RECOVERY
FEDERATION EMPLOYMENT AND GUIDANCE SERVICE INC - 315 HUDSON STREET - NEW YORK, NY 10013	13-1624000	501(C)(3)	270,000.	0.			NYC RECOVERY
FLEMISTER HDFC 527 WEST 22ND STREET NEW YORK, NY 10011	13-3796427	501(C)(3)	165,000.	0.			NYC RECOVERY
GOOD SHEPHERD SERVICES 305 7TH AVENUE NEW YORK, NY 10001	13-5598710	501(C)(3)	200,000.	0.			NYC RECOVERY
HARLEM STAGE 350 CONVENT AVENUE NEW YORK, NY 10031	13-3166308	501(C)(3)	46,000.	0.			NYC RECOVERY
HOUSING PARTNERSHIP DEVELOPMENT 242 W. 36TH STREET NEW YORK, NY 10018	13-3202014	501(C)(3)	30,000.	0.			NYC RECOVERY
HOUSING WORKS, INC. 57 WILLOUGHBY ST. BROOKLYN, NY 11201	13-3584089	501(C)(3)	53,000.	0.			NYC RECOVERY

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

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ISRAEL SENIOR CITIZENS HOUSING 155 BEACH 19TH STREET FAR ROCKAWAY, NY 11691	11-2077747	501(C)(3)	50,000.	0.			NYC RECOVERY
JEWISH CENTER OF BRIGHTON BEAC 2915 OCEAN PARKWAY BROOKLYN, NY 11235	11-1963083	501(C)(3)	60,000.	0.			NYC RECOVERY
JEWISH COMMUNITY COUNCIL OF GREATER CONEY ISLAND, INC. - 3001 WEST 37TH ST - BROOKLYN, NY 11224	11-2665181	501(C)(3)	145,000.	0.			NYC RECOVERY
JOSEPH P. ADDABBO FAMILY HEALTH CENTER - 6200 BEACH CHANNEL DRIVE ARVERNE - NEW YORK, NY 11692	06-1181226	501(C)(3)	500,000.	0.			NYC RECOVERY
LOWER EASTSIDE SERVICE CENTER 80 MAIDEN LANE NEW YORK, NY 10038	13-1972876	501(C)(3)	333,000.	0.			NYC RECOVERY
MANHATTAN BEACH HOUSING DEVELO 161 CORBIN PLACE BROOKLYN, NY 11235	13-3035718	501(C)(3)	300,000.	0.			NYC RECOVERY
MANHATTAN YOUTH RECREATION AND RESOURCES, INC. - 120 WARREN STREET NEW YORK NY 10007 - NEW YORK, NY 10007	13-3323378	501(C)(3)	333,000.	0.			NYC RECOVERY
MARTHA GRAHAM CENTER OF CONTEMPORARY DANCE INC - 316 E. 63RD STREET - NEW YORK, NY 10065	13-2571063	501(C)(3)	100,000.	0.			NYC RECOVERY
MARTIN DE PORRES SCHOOL INC 621 ELMONT RD. ELMONT, NY 11003	23-7379093	501(C)(3)	50,000.	0.			NYC RECOVERY

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MERCYFIRST 525 CONVENT ROAD SYOSSET, NY 11791	11-1635089	501(C)(3)	22,000.	0.			NYC RECOVERY
METROPOLITAN COUNCIL ON JEWISH POVERTY - 80 MAIDEN LANE 21ST FLOOR - NEW YORK, NY 10038	13-2738818	501(C)(3)	200,000.	0.			NYC RECOVERY
METROPOLITAN FIRE ASSOCIATION 460 BRIELLE AVENUE STATEN ISLAND, NY 10314	13-3039588	501(C)(3)	15,000.	0.			NYC RECOVERY
NEW AMSTERDAM PRESENTS 98A VAN DYKE ST. BROOKLYN, NY 11231	26-2885752	501(C)(3)	50,000.	0.			NYC RECOVERY
NEW YORK LEGAL ASSISTANCE GROUP 7 HANOVER SQUARE NEW YORK, NY 10004	13-3505428	501(C)(3)	60,000.	0.			NYC RECOVERY
NUYORICAN POETS CAFE 236 EAST 3RD STREET NEW YORK, NY 10009	51-0139390	501(C)(3)	25,000.	0.			NYC RECOVERY
OAKWOOD HEIGHTS VFW POST 9587 575 MILL ROAD STATEN ISLAND, NY 10306	23-7170065	501(C)(3)	25,000.	0.			NYC RECOVERY
OCEAN BAY COMMUNITY DEVELOPMENT 434 BEACH 54TH STREET ARVERNE NEW YORK, NY 11692	84-1622031	501(C)(3)	25,000.	0.			NYC RECOVERY
OPEN CHANNELS NY INC. 161A CHRYSTIE STREET NEW YORK, NY 10002	13-3486263	501(C)(3)	15,000.	0.			NYC RECOVERY

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PEOPLES URBAN FILMS PUF FOUNDATION 102 DWIGHT STREET SUITE 2A NEW YORK, NY 11231	90-0519403	501(C)(3)	6,000.	0.			NYC RECOVERY
PORTSIDE NEWYORK PO BOX 195 RED HOOK STATION BROOKLYN, NY 11231	20-0176184	501(C)(3)	10,000.	0.			NYC RECOVERY
PRINTED MATTER, INC. 195 TENTH AVE. NEW YORK, NY 10011	13-2947412	501(C)(3)	75,000.	0.			NYC RECOVERY
QUEENS COMPREHENSIVE PERINATAL COUNCIL - 111-06 MERRICK BLVD - JAMAICA, NY 11433	11-2870422	501(C)(3)	25,000.	0.			NYC RECOVERY
RED HOOK INITIATIVE 767 HICKS ST BROOKLYN, NY 11231	20-3904662	501(C)(3)	15,000.	0.			NYC RECOVERY
ROCKAWAY ARTISTS ALLIANCE, INC 260 BEACH 116 STREET ROCKAWAY, NY 11694	11-3217121	501(C)(3)	30,000.	0.			NYC RECOVERY
ROCKAWAY THEATRE COMPANY P.O. BOX 950398 FAR ROCKAWAY, NY 11695	11-3505908	501(C)(3)	50,000.	0.			NYC RECOVERY
ROCKAWAY WATERFRONT ALLIANCE PO BOX 900645 FAR ROCKAWAY, NY 11690	11-3783397	501(C)(3)	25,000.	0.			NYC RECOVERY
SAFE SPACE NYC 89-74 162ND ST. JAMAICA, NY 11432	11-1711014	501(C)(3)	95,000.	0.			NYC RECOVERY

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SAINTS JOACHIM & ANNE NURSING 2720 SURF AVENUE BROOKLYN, NY 11224	22-2943712	501(C)(3)	190,000.	0.			NYC RECOVERY
SEAGIRT HOUSING DEVELOPMNET FU 1915 SEAGIRT BLVD FAR ROCKAWAY, NY 11691	13-3173810	501(C)(3)	50,000.	0.			NYC RECOVERY
SERVICES FOR THE UNDERSERVED, 305 7TH AVENUE NEW YORK, NY 10001	91-1918247	501(C)(3)	300,000.	0.			NYC RECOVERY
SETTLEMENT HOUSING FUND, INC. 247 WEST 37TH STREET NEW YORK, NY 10018	23-7078882	501(C)(3)	50,000.	0.			NYC RECOVERY
SNUG HARBOR CULTURAL CENTER AND BOTANICAL GARDEN - 1000 RICHMOND TERRACE - STATEN ISLAND, NY 10301	80-0193388	501(C)(3)	100,000.	0.			NYC RECOVERY
SPANISH SPEAKING ELDERLY COUNCIL 460 ATLANTIC AVE BROOKLYN, NY 11217	11-2730462	501(C)(3)	20,000.	0.			NYC RECOVERY
STATEN ISLAND HISTORICAL SOCIETY 441 CLARKE AVENUE STATEN ISLAND, NY 10306	13-1985514	501(C)(3)	20,000.	0.			NYC RECOVERY
THE HARBOR LIGHTS THEATER COMPANY 38 WESTERVELT AVENUE STATEN ISLAND, NY 10301	27-1795539	501(C)(3)	15,000.	0.			NYC RECOVERY
THE HEBREW HOME FOR THE AGED 5901 PALISADE AVENUE BRONX, NY 10471	13-1739971	501(C)(3)	200,000.	0.			NYC RECOVERY

Schedule I (Form 990)

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II.)

(a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE RIVER PROJECT, INC. PIER 40 AT HOUSTON AND WEST ST. FLO NEW YORK, NY 10014	13-3398150	501(C)(3)	20,000.	0.			NYC RECOVERY
THE SHADOW BOX THEATRE 325 WEST END AVENUE NEW YORK, NY 10023	13-2725580	501(C)(3)	50,000.	0.			NYC RECOVERY
THE WATERFRONT MUSEUM 290 CONOVER ST. BROOKLYN, NY 11231	11-3569896	501(C)(3)	75,000.	0.			NYC RECOVERY
THEATRE DEVELOPMENT FUND, INC. 520 EIGHTH AVENUE NEW YORK, NY 10018	13-6216919	501(C)(3)	75,000.	0.			NYC RECOVERY
THIN MAN DANCE, INC. 140 SECOND AVE NEW YORK, NY 10023	13-3922974	501(C)(3)	20,000.	0.			NYC RECOVERY
TWO BRIDGES NEIGHBORHOOD COUNCIL 275 CHERRY STREET NEW YORK, NY 10002	13-6222626	501(C)(3)	50,000.	0.			NYC RECOVERY
URBAN NEIGHBORHOOD SERVICES INC 1718 MERMAID AVENUE BROOKLYN, NY 11224	14-1997299	501(C)(3)	100,000.	0.			NYC RECOVERY

Part III Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance

Part IV Supplemental Information. Complete this part to provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

SCHEDULE I, PART I, LINE 2: FCNY MONITORS THE GRANTS THROUGH REQUIRING THE GRANTEE TO PROVIDE FINANCIAL AND NARRATIVE (PROGRAMMATIC OR WHAT THE GRANT ACCOMPLISHED) REPORTS DURING AND AT THE END OF THE GRANT TERM.

**SCHEDULE J
(Form 990)**

Compensation Information

OMB No. 1545-0047

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

2012

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization

FUND FOR THE CITY OF NEW YORK, INC.

Employer identification number

13-2612524

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|--|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- a** Receive a severance payment or change-of-control payment?
- b** Participate in, or receive payment from, a supplemental nonqualified retirement plan?
- c** Participate in, or receive payment from, an equity-based compensation arrangement?
- If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- a** The organization?
- b** Any related organization?
- If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- a** The organization?
- b** Any related organization?
- If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

	Yes	No
1a		
1b		
2		
3		
4a	X	
4b		X
4c		X
5a		X
5b		X
6a		X
6b		X
7		X
8		X
9		

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2012

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) MARY MCCORMICK PRESIDENT	(i)	278,744.	0.	5,334.	28,139.	8,245.	320,462.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(2) WALID GABALLAH CFO	(i)	160,666.	0.	630.	16,375.	14,287.	191,958.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(3) GREGORY BERMAN DIRECTOR, CCI	(i)	218,470.	0.	630.	22,623.	23,144.	264,867.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(4) ALFRED SIEGEL CCI GENERAL & OPERATIONS MGR	(i)	171,269.	0.	2,772.	17,853.	22,644.	214,538.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(5) EARLE WALKER DIRECTOR, REGIONAL ALLIANCE	(i)	197,417.	0.	5,334.	20,143.	19,396.	242,290.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(6) JULIUS LANG CCI DIR OF NAT'L TECH ASST	(i)	143,236.	0.	1,881.	14,900.	21,144.	181,161.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(7) MICHAEL CLARK DIRECTOR, NPCC	(i)	182,980.	0.	5,334.	19,200.	24,396.	231,910.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(8) SANDRA ESCAMILLA DIRECTOR, YDI	(i)	150,000.	0.	420.	15,252.	17,896.	183,568.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
(9) BARBARA COHN-BERMAN VICE PRESIDENT	(i)	140,448.	0.	27,626.	16,193.	708.	184,975.	0.
	(ii)	0.	0.	0.	0.	0.	0.	0.
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							
	(i)							
	(ii)							

Part III Supplemental Information

Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 1B: THE ORGANIZATION DOES NOT OFFER THESE FRINGE BENEFITS.

PART I, LINE 4A: BARBARA COHN-BERMAN RECEIVED SEVERANCE PAYMENT OF
20,776.

SCHEDULE O
(Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

OMB No. 1545-0047

2012

Open to Public
Inspection

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.
▶ Attach to Form 990 or 990-EZ.

Department of the Treasury
Internal Revenue Service

Name of the organization

FUND FOR THE CITY OF NEW YORK, INC.

Employer identification number:

13-2612524

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

IMPROVE THE QUALITY OF LIFE FOR ALL NEW YORKERS. IN PARTNERSHIP WITH
GOVERNMENT AGENCIES, NONPROFIT INSTITUTIONS AND FOUNDATIONS, THE FUND
WORKS TO DEVELOP AND IMPLEMENT INNOVATIONS IN POLICY, PROGRAMS AND
TECHNOLOGY TO ADVANCE THE FUNCTIONING OF GOVERNMENT AND NONPROFIT
ORGANIZATIONS.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

POLICY, PROGRAMS AND TECHNOLOGY TO ADVANCE THE FUNCTIONING OF
GOVERNMENT AND NONPROFIT AGENCIES.

FORM 990, PART VI, SECTION B, LINE 11: THE FORM 990 IS PREPARED BY AN
INDEPENDENT ACCOUNTING FIRM BASED ON AUDITED FINANCIAL STATEMENTS AND
INFORMATION PROVIDED FROM MANAGEMENT. THE FORM 990 IS PRESENTED TO BOARD
MEMBERS FOR REVIEW DURING BOARD MEETINGS OR SENT TO EACH BOARD MEMBER FOR
ANY COMMENT. AFTER SUCH REVIEW, FORM 990 IS FILED WITH IRS.

FORM 990, PART VI, SECTION B, LINE 12C: THE ORGANIZATION GIVES A COPY OF
THE POLICY TO NEW HIRES AND POSTS THEM ON INTRANET SITE TO MAKE IT
AVAILABLE TO ALL STAFF, INCLUDING THE OFFICERS, BOARD MEMBERS, AND KEY
EXECUTIVES.

THE IMPLEMENTATION OF THE POLICY ENTAIL, THE DISCLOSURE OF ANY CONFLICT OF
INTEREST TO THE PRESIDENT WHO REGULARLY MONITORS THE ENFORCEMENT OF IT,
IDENTIFIES AND ELIMINATES THE CONFLICT OF INTEREST IF ANY.

Name of the organization FUND FOR THE CITY OF NEW YORK, INC.	Employer identification number 13-2612524
---	--

FORM 990, PART VI, SECTION B, LINE 15: THE COMPENSATION OF THE PRESIDENT IS DETERMINED BY THE EXECUTIVE COMMITTEE AND RATIFIED BY THE ENTIRE BOARD. THE EXECUTIVE COMMITTEE AND BOARD ALSO APPROVES THE OVERALL ANNUAL SALARY POLICY FOR THE FUND AND ALL COMPENSATION POLICIES.

FORM 990, PART VI, SECTION C, LINE 19: AVAILABLE UPON REQUEST.

FORM 990, PART XI, LINE 9, CHANGES IN NET ASSETS:

M&G EXPENSES ALLOCATED TO NCCI -810.

FORM 990, PART XII, LINE 2C:

THE SELECTION AND OVERSIGHT PROCESS DID NOT CHANGE FROM PRIOR YEAR.

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.

▶ Attach to Form 990. ▶ See separate instructions.

Name of the organization **FUND FOR THE CITY OF NEW YORK, INC.** Employer identification number **13-2612524**

Part I Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
NATIONAL CENTER FOR CIVIC INNOVATION, INC - 02-0590588, 121 SIXTH AVENUE, 6TH FLOOR, NEW YORK, NY 10013	FACILITATE FCNY'S MISSION TO OTHER CITIES IN THE U.S.	NEW YORK	501(C)(3)	LINE 7	FUND FOR THE CITY OF NEW YORK, INC.		X
INTERNATIONAL INSTITUTE FOR COMMUNITY SOLUTIONS INC - 01-0675129, 121 SIXTH AVENUE, 6TH FLOOR, NEW YORK, NY 10013	IMPROVING THE PERFORMANCE OF GOVERNMENT AND NONPROFIT ORG. WORLDWIDE	NEW YORK	501(C)(3)	LINE 11B, II	FUND FOR THE CITY OF NEW YORK, INC.		X

Part III Identification of Related Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportion- ate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV Identification of Related Organizations Taxable as a Corporation or Trust (Complete if the organization answered "Yes" to Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.)

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No

Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35b, or 36.)

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

- a** Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity
- b** Gift, grant, or capital contribution to related organization(s)
- c** Gift, grant, or capital contribution from related organization(s)
- d** Loans or loan guarantees to or for related organization(s)
- e** Loans or loan guarantees by related organization(s)

- f** Dividends from related organization(s)
- g** Sale of assets to related organization(s)
- h** Purchase of assets from related organization(s)
- i** Exchange of assets with related organization(s)
- j** Lease of facilities, equipment, or other assets to related organization(s)

- k** Lease of facilities, equipment, or other assets from related organization(s)
- l** Performance of services or membership or fundraising solicitations for related organization(s)
- m** Performance of services or membership or fundraising solicitations by related organization(s)
- n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)
- o** Sharing of paid employees with related organization(s)

- p** Reimbursement paid to related organization(s) for expenses
- q** Reimbursement paid by related organization(s) for expenses

- r** Other transfer of cash or property to related organization(s)
- s** Other transfer of cash or property from related organization(s)

	Yes	No
1a		X
1b		X
1c	X	
1d		X
1e		X
1f		X
1g		X
1h		X
1i		X
1j		X
1k		X
1l		X
1m		X
1n	X	
1o	X	
1p		X
1q	X	
1r		X
1s		X

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds.

(a) Name of other organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1)			
(2)			
(3)			
(4)			
(5)			
(6)			

Part VII Supplemental Information

Complete this part to provide additional information for responses to questions on Schedule R (see instructions).

Lined area for supplemental information with multiple horizontal lines.

Depreciation and Amortization 990
(Including Information on Listed Property)

Department of the Treasury
Internal Revenue Service (99)

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return

Business or activity to which this form relates

Identifying number

FUND FOR THE CITY OF NEW YORK, INC.

FORM 990 PAGE 10

13-2612524

Part I Election To Expense Certain Property Under Section 179 Note: *If you have any listed property, complete Part V before you complete Part I.*

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year. Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	
8	Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2011 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2013. Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	117,147.

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2012	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		<input type="checkbox"/>

Section B - Assets Placed in Service During 2012 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
	/		27.5 yrs.	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2012 Tax Year Using the Alternative Depreciation System

20a	Class life				S/L	
b	12-year		12 yrs.		S/L	
c	40-year	/	40 yrs.	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property. Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	117,147.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V Listed Property (include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed? Yes No 24b If "Yes," is the evidence written? Yes No

Table with 9 columns: (a) Type of property, (b) Date placed in service, (c) Business/investment use percentage, (d) Cost or other basis, (e) Basis for depreciation, (f) Recovery period, (g) Method/Convention, (h) Depreciation deduction, (i) Elected section 179 cost.

25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use 25

26 Property used more than 50% in a qualified business use: Table with columns for percentage and other details.

27 Property used 50% or less in a qualified business use: Table with columns for percentage and S/L status.

28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1 28

29 Add amounts in column (i), line 26. Enter here and on line 7, page 1 29

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

Table for Section B with columns (a) through (f) for Vehicle. Rows 30-36 include questions about miles driven and personal use availability.

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons.

Table for Section C with questions 37-41 and Yes/No columns.

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.

Part VI Amortization

Table with 6 columns: (a) Description of costs, (b) Date amortization begins, (c) Amortizable amount, (d) Code section, (e) Amortization period or percentage, (f) Amortization for this year.

42 Amortization of costs that begins during your 2012 tax year:

43 Amortization of costs that began before your 2012 tax year 43

44 Total. Add amounts in column (f). See the instructions for where to report 44

COPY OF WITHIN PAPER
RECEIVED

MAY 30 2014

NYS OFFICE OF THE ATTORNEY GENERAL
CHARITIES BUREAU